

P.S.C. KY. NO. 1

CANCELLING P.S.C. KY. NO. _____

BATH COUNTY WATER DISTRICT

OF

PO BOX 369

SALT LICK, KENTUCKY, 40371

RATES & CHARGES

AND

RULES & REGULATIONS

FOR FURNISHING

WATER SERVICE

AT

ALL TERRITORIES SERVED

BY

BATH COUNTY WATER DISTRICT
KENTUCKY

FILED WITH THE

PUBLIC SERVICE COMMISSION

OF

KENTUCKY

DATE OF ISSUE _____
Month / Date / Year

DATE EFFECTIVE _____

Month / Date / Year

ISSUED BY *M. Mitchell*
(Signature of Officer)

TITLE Chairman

PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

SEP 15 2003

PURSUANT TO 407 KAR 5.011
SECTION 2 (1)

By *Chambers*
EXECUTIVE DIRECTOR

FOR Salt Lick, Kentucky
Community, Town or City

P.S.C. KY. NO. 1

Original SHEET NO. 1

CANCELLING P.S.C. KY. NO. _____

_____ SHEET NO. _____

Bath County Water District
(Name of Utility)

CONTENTS

I. RATES AND CHARGES

- A. Monthly Rates
- B. Deposits
- C. Meter Connection/Tap-on Charges
- D. Special Non-recurring Charges
- E. Purchased Water Rates
- F. Leak Adjustment Rate
- G. Wholesale Water Rates
- H. Fire Sprinkler Rates

II. RULES AND REGULATIONS

- A. Service Information
- B. Special Rules or Requirements
- C. Billings, Meter Readings, and Related Information
- D. Deposits
- E. Special Nonrecurring Charges
- F. Customer Complaints to the Utility
- G. Bill Adjustments

DATE OF ISSUE _____
Month / Date / Year

DATE EFFECTIVE _____
Month / Date / Year

ISSUED BY *Mitchell Carter*
(Signature of Officer)
Chairman

TITLE _____

BY AUTHORITY OF ORDER OF THE PUBLIC SERVICE COMMISSION
IN CASE NO. _____ DATED _____

PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

SEP 15 2003

PURSUANT TO 807 KAR 5:011
SECTION 9 (1)

BY *Charles L. Brown*
EXECUTIVE DIRECTOR

FOR Salt Lick, Kentucky
Community, Town or City

P.S.C. KY. NO. 1

Original SHEET NO. 2

CANCELLING P.S.C. KY. NO. _____

_____ SHEET NO. _____

Bath County Water District
(Name of Utility)

CONTENTS

- H. Status of Customer Accounts during Billing Disputes
- I. Customer Request for Termination of Service
- J. Customer Relations
- K. Refusal or Termination of Service
- L. Meter Testing
- M. Meter Test Records
- N. Customer Requested Meter Tests
- O. Access to Property
- P. Location of Records
- Q. Safety Program
- R. System Inspections
- S. Reporting of Accidents, Property Damage, or Loss of Service
- T. Continuity of Service
- U. Pressures
- V. Service Lines and Connections
- W. Leak Adjustments
- X. Ownership of Mains, Services, and Appurtenances
- Y. Notification of System Problems

DATE OF ISSUE _____
Month / Date / Year

DATE EFFECTIVE _____

ISSUED BY Mitchell Carole
Month / Date / Year
(Signature of Officer)

TITLE Chairman

BY AUTHORITY OF ORDER OF THE PUBLIC SERVICE COMMISSION

IN CASE NO. _____ DATED _____

PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

SEP 15 2003

PURSUANT TO 807 KAR 5.011
SECTION 9 (1)

BY Charles H. Doran
EXECUTIVE DIRECTOR

FOR Salt Lick, Kentucky
Community, Town or City

P.S.C. KY. NO. 1

Original SHEET NO. 3

Bath County Water District
(Name of Utility)

CANCELLING P.S.C. KY. NO. _____

SHEET NO. _____

CONTENTS

- Z. Legal Disclaimers
- AA. Fire Departments
- AB. Fire Hydrants
- AC. Fire Sprinkler Systems
- AD. Requirements for New Connections
- AE. Water Main Extensions
- AF. Extension Policy for Developers and New Subdivisions and Developments

III. ATTACHMENTS

- A. Sample Bill
- B. Water Shortage Plan
- C. Water Emergency Response Plan

DATE OF ISSUE _____
Month / Date / Year

DATE EFFECTIVE _____

ISSUED BY Mitchell Crook
(Signature of Officer)

TITLE Chairman

BY AUTHORITY OF ORDER OF THE PUBLIC SERVICE COMMISSION

IN CASE NO. _____ DATED _____

PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

SEP 15 2003

PURSUANT TO 807 KAR 5.011
SECTION 9 (1)

BY Charles E. Riden
EXECUTIVE DIRECTOR

Form for filing Rate Schedules

FOR All territories served
Community, Town, or CityBath County Water District
Name of Issuing CorporationP.S.C. No. 1Original SHEET NO. 4

CANCELLING P.S.C. NO. _____

_____ SHEET NO. _____

RATES AND CHARGES

RATE
PER UNITA. MONTHLY WATER RATES5/8 Inch X 3/4 Inch Meter:

First	2,000 Gallons	\$10.37 Minimum Bill
Next	3,000 Gallons	4.26 Per 1,000 Gallons
Next	5,000 Gallons	2.96 Per 1,000 Gallons
Next	10,000 Gallons	2.36 Per 1,000 Gallons
Next	30,000 Gallons	2.16 Per 1,000 Gallons
Over	50,000 Gallons	2.06 Per 1,000 Gallons

1 Inch Meter:

First	10,000 Gallons	\$37.95 Minimum Bill
Next	10,000 Gallons	2.36 Per 1,000 Gallons
Next	30,000 Gallons	2.16 Per 1,000 Gallons
Over	50,000 Gallons	2.06 Per 1,000 Gallons

2 Inch Meter:

First	50,000 Gallons	\$126.35 Minimum Bill
Over	50,000 Gallons	2.06 Per 1,000 Gallons

DATE OF ISSUE _____

Month/Date/Year

DATE EFFECTIVE Jan. 15, 2004

Month/Date/Year

ISSUED BY Mitchell Brooke

(Signature of Officer)

TITLE ChairmanPUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

JAN 15 2004

PURSUANT TO 807 KAR 5.011
SECTION 9 (1)BY Charles L. Boone
EXECUTIVE DIRECTORBy Authority of Order of the Public Service Commission
In Case No. 2004-00040 Dated Feb. 23, 2004

FOR Salt Lick, Kentucky
Community, Town or City

P.S.C. KY. NO. 1

Original SHEET NO. 5

Bath County Water District
(Name of Utility)

CANCELLING P.S.C. KY. NO. _____

_____ SHEET NO. _____

RATES AND CHARGES

B. DEPOSITS:

5/8" x 3/4" Meter

\$25.00

DATE OF ISSUE _____
Month / Date / Year

DATE EFFECTIVE _____

ISSUED BY *Mitchell Crooks*
Month / Date / Year
(Signature of Officer)

TITLE Chairman

BY AUTHORITY OF ORDER OF THE PUBLIC SERVICE COMMISSION
IN CASE NO. _____ DATED _____

PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

SEP 15 2003

PURSUANT TO 807 KAR 5:011
SECTION 9 (1)

BY *Charles E. Brown*
EXECUTIVE DIRECTOR

FOR Salt Lick, Kentucky
Community, Town or City

P.S.C. KY. NO. 1

Original SHEET NO. 6

Bath County Water District
(Name of Utility)

CANCELLING P.S.C. KY. NO. _____

_____ SHEET NO. _____

RATES AND CHARGES

C. METER CONNECTION/TAP-ON CHARGES:

5/8 Inch X 3/4 Inch \$400.00

All Larger Meters Actual Cost

DATE OF ISSUE _____
Month / Date / Year

DATE EFFECTIVE _____

Month / Date / Year

ISSUED BY Mitchell Cresola
(Signature of Officer)

TITLE Chairman

BY AUTHORITY OF ORDER OF THE PUBLIC SERVICE COMMISSION

IN CASE NO. _____ DATED _____

PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

SEP 15 2003

PURSUANT TO 807 KAR 5:011
SECTION 9 (1)

BY Charles H. Brown
EXECUTIVE DIRECTOR

FOR Salt Lick, Kentucky
Community, Town or City

P.S.C. KY. NO. 1

Original SHEET NO. 7

CANCELLING P.S.C. KY. NO. _____

_____ SHEET NO. _____

Bath County Water District
(Name of Utility)

RATES AND CHARGES

D. SPECIAL NON-RECURRING CHARGES:

Connection/Turn-on Charge	20.00
Connection/Turn-on Charge (After Hours)	30.00*
Field Collection Charge	20.00
Late Payment Penalty	10%
Meter Relocation Charge	Actual Cost
Meter Re-read Charge	20.00
Meter Test Charge	20.00
Re-connection Charge	20.00
Re-connection Charge (After Hours)	30.00*
Returned Check Charge	20.00
Service Call/Investigation	20.00
Service Call/Investigation (After Hours)	30.00*
Service Line Inspection	20.00

***NOTE**—Regular working hours for the utility's Maintenance Staff is 9:00 a.m. to 4:30 p.m. Monday through Friday, excluding holidays. Upon customer request, and subject to availability of Maintenance Staff, services may be performed outside regular working hours at the after hours rate.

DATE OF ISSUE _____
Month / Date / Year

DATE EFFECTIVE _____

ISSUED BY Mitchell Pearson
(Signature of Officer)

TITLE Chairman

BY AUTHORITY OF ORDER OF THE PUBLIC SERVICE COMMISSION

IN CASE NO. _____ DATED _____

PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

SEP 15 2003

PURSUANT TO 807 KAR 5.011
SECTION 9 (1)

BY Charles L. Dorn
EXECUTIVE DIRECTOR

FOR Salt Lick, Kentucky
Community, Town or City

P.S.C. KY. NO. 1

Original SHEET NO. 8

Bath County Water District
(Name of Utility)

CANCELLING P.S.C. KY. NO. _____

_____ SHEET NO. _____

RATES AND CHARGES

E. PURCHASED WATER RATES:

Upon increase or decrease in the wholesale rate of purchased water by its supplier, the utility may apply for an adjustment to its water rates in accordance with 807KAR 5:068. The base rate for future application of the purchased water adjustment clause is:

	<u>Rate</u>
Morehead Utility Plant Board	\$18,634.13 Capital Cost
	10.00 Meter Billing Charge
	.70 Per 1,000 Gallons

	<u>Cost Per Cubic Foot</u>
Mt. Sterling Water and Sewer	First 100 cf \$4.82
	Next 600 cf 2.32
	Next 1,300 cf 2.27
	Next 1,300 cf 2.17
	Next 3,400 cf 1.92
	Next 6,600 cf 1.62
	Next 13,300 cf 1.32
	Next 26,000 cf 1.28

DATE OF ISSUE _____
Month / Date / Year

DATE EFFECTIVE _____
Month / Date / Year

ISSUED BY Mitchell Crooks
(Signature of Officer)

TITLE Chairman

BY AUTHORITY OF ORDER OF THE PUBLIC SERVICE COMMISSION
IN CASE NO. _____ DATED _____

PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

SEP 15 2003

PURSUANT TO 807 KAR 5:011
SECTION 9 (1)

BY Charles W. Dorn
EXECUTIVE DIRECTOR

Form for filing Rate Schedules

FOR All territories served
Community, Town, or City

Bath County Water District
Name of Issuing Corporation

P.S.C. No. 1

Original SHEET NO. 9

CANCELLING P.S.C. NO. _____

_____ SHEET NO. _____

RATES AND CHARGES

RATE
PER UNIT

F. LEAK ADJUSTMENT RATE: 1.60 per 1,000 Gallons

G. WHOLESALE WATER RATES:

Sharpsburg Water District \$ 2.32 Per 1,000 Gallons

City of Frenchburg
First 100,000 Per day 2.66 Per 1,000 Gallons
Next 250,000 Per day 2.13 Per 1,000 Gallons

City of Owingsville
Monthly Debt Service Payment \$4,733.91 Minimum Bill
All Usage 1.55 Per 1,000 Gallons

Bulk Sales 6.51 Per 1,000 Gallons

DATE OF ISSUE _____

Month/Date/Year

DATE EFFECTIVE May 6, 2004

Month/Date/Year

ISSUED BY Mitchell C. Smith
(Signature of Officer)

TITLE Chairman

PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

MAY 06 2004

PURSUANT TO 807 KAR 5:011
SECTION 9 (1)

BY Thomas L. Brown
EXECUTIVE DIRECTOR

By Authority of Order of the Public Service Commission
In Case No. _____ Dated _____.

FOR Salt Lick, Kentucky
Community, Town or City

P.S.C. KY. NO. 1

Original SHEET NO. 10

Bath County Water District
(Name of Utility)

CANCELLING P.S.C. KY. NO. _____

_____ SHEET NO. _____

RATES AND CHARGES

H. FIRE SPRINKLER SYSTEM RATES:

Meter Size

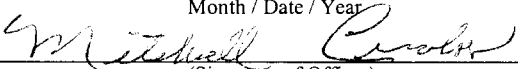
Monthly Charge

All Meters and Connections

\$10.00

DATE OF ISSUE _____
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ISSUED BY 
Month / Date / Year
(Signature of Officer)

TITLE Chairman

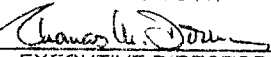
BY AUTHORITY OF ORDER OF THE PUBLIC SERVICE COMMISSION

IN CASE NO. _____ DATED _____

PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

SEP 15 2003

PURSUANT TO 807 KAR 5:011
SECTION 9 (1)

BY 
EXECUTIVE DIRECTOR

FOR Salt Lick, Kentucky
Community, Town or City

P.S.C. KY. NO. 1

Original SHEET NO. 11

Bath County Water District
(Name of Utility)

CANCELLING P.S.C. KY. NO. _____

_____ SHEET NO. _____

RULES AND REGULATIONS

The following are the rules and regulations of the Bath County Water District. The schedule of rates prescribed herein will be uniformly charged to all customers of the utility. No one will receive or be entitled to free service by the utility. No employee or individual commissioner of the utility is permitted to make an exception to these rates, rules, or regulations. These rules and regulations are subject to change by the utility at any time, subject to the approval of the Public Service Commission.

A. Service Information.

1. Upon request the utility will give its customers or prospective customers such information as is reasonably possible in order that they may secure safe, efficient, and continuous service. The utility will inform its customers of any change made or proposed in the character of its service that might affect the efficiency, safety, or continuity of operation.
2. The utility will obtain the approval of the Public Service Commission prior to making any substantial change in the character of the service furnished that would affect the efficiency, adjustment, speed, or operation of the equipment or appliances of any customer. The application will show the nature of the change to be made, the number of customers affected, and the manner in which they will be affected.
3. The utility will inform each applicant for service of each type, class, and character of service available at his/her location.
4. Upon request the utility will provide the following information to any applicant/customer:
 - a) Characteristics of Water. A written description of chemical constituents and bacteriological standards of the treated water as required by the Natural Resources Cabinet.
 - b) Rates. A schedule of rates for water service applicable to the service to be rendered to the customer.

DATE OF ISSUE _____
Month / Date / Year

DATE EFFECTIVE _____

ISSUED BY Mitchell Brando
(Signature of Officer)

TITLE Chairman

BY AUTHORITY OF ORDER OF THE PUBLIC SERVICE COMMISSION

IN CASE NO. _____ DATED _____

PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

SEP 15 2003

PURSUANT TO 807 KAR 5:011
SECTION 9 (1)

BY Charles H. Eddins
EXECUTIVE DIRECTOR

FOR Salt Lick, Kentucky
Community, Town or City

P.S.C. KY. NO. 1

Original SHEET NO. 12

Bath County Water District
(Name of Utility)

CANCELLING P.S.C. KY. NO. _____

_____ SHEET NO. _____

RULES AND REGULATIONS

c) Reading Meters. Information about the method of reading meters.

d) Bill Analysis. A statement of the past readings of a customer's meter for a period of two (2) years.

B. Special Rules or Requirements.

1. The utility cannot establish any special rule or requirement without first obtaining the approval of the Public Service Commission.
2. A customer that has complied with Public Service Commission rules and regulations cannot be denied service for failure to comply with the utility's rules that have not been approved by the Public Service Commission.
3. Each prospective customer desiring water service will be required to sign the utility's Water User Agreement before service is supplied by the utility.
4. No customer is allowed to resell water except under the terms of a special contract executed by the utility and approved by the Public Service Commission.

C. Billings, Meter Readings, and Related Information.

1. Information on bills. Each bill issued by the utility will clearly show the following, if applicable: class of service; present and last preceding meter readings; date of the present reading; number of units consumed; meter constant, if any; net amount for service rendered; all taxes; any adjustments; and the gross amount of the bill. The date after which a late payment penalty applies to the gross amount will also be indicated. Estimated or calculated bills will be distinctly marked as such. The rate schedule under which the bill is computed will be furnished under one (1) of the following methods:
 - a) By printing it on the bill.
 - b) By publishing it in a newspaper of general circulation once each year.

DATE OF ISSUE _____
Month / Date / Year

DATE EFFECTIVE _____
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ISSUED BY Mitchell Quisenberry
(Signature of Officer)

TITLE Chairman

BY AUTHORITY OF ORDER OF THE PUBLIC SERVICE COMMISSION
IN CASE NO. _____ DATED _____

PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

SEP 15 2003

PURSUANT TO 807 KAR 5:011
SECTION 9 (1)

BY Charles L. Dore
EXECUTIVE DIRECTOR

FOR Salt Lick, Kentucky
Community, Town or City

P.S.C. KY. NO. 1

Original SHEET NO. 13

CANCELLING P.S.C. KY. NO. _____

_____ SHEET NO. _____

Bath County Water District
(Name of Utility)

RULES AND REGULATIONS

- c) By mailing it to each customer once each year.
 - d) By providing a place on each bill where a customer may request a copy of the applicable rates. The utility will mail the customer a copy by return first class mail.
2. Bill format. A copy of the utility's billing form will be included in the utility's tariff.
3. Meter readings. Registration of each meter shall read in the same units as used for billing unless a conversion factor is shown on the billing form.
4. Frequency of meter reading. Unless prevented by reasons beyond the utility's control, meter readings will be taken every month. Records will be kept by the utility to insure that this information is available to Public Service Commission staff and any customer requesting this information. If, due to reasons beyond its control, the utility is unable to read a meter in accordance with this subsection, the utility will record the date and time the attempt was made, if applicable, and the reason the utility was unable to read the meter.
5. Related Information.
- a) Bills and notices related to the utility's business will be mailed to the customer at the address listed on the Water User Agreement unless a change of address has been filed with the utility in writing. The utility will not otherwise be responsible for delivery of any bill or notice nor will the customer be excused from the payment of any bill or any performance required in the notice.
 - b) Water service will be billed monthly between the 1st and 3rd of each month.
 - c) Bills are payable and due on the date of issuance.

DATE OF ISSUE _____
Month / Date / Year

DATE EFFECTIVE _____
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ISSUED BY *Mitchell Carver*
(Signature of Officer)

TITLE Chairman

BY AUTHORITY OF ORDER OF THE PUBLIC SERVICE COMMISSION
IN CASE NO. _____ DATED _____

PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

SEP 15 2003

PURSUANT TO 807 KAR 5:011
SECTION 9 (1)

BY *Charles L. Brown*
EXECUTIVE DIRECTOR

FOR Salt Lick, Kentucky
Community, Town or City

P.S.C. KY. NO. 1

Original SHEET NO. 14

Bath County Water District
(Name of Utility)

CANCELLING P.S.C. KY. NO. _____

_____ SHEET NO. _____

RULES AND REGULATIONS

- d) Payment must be received, not postmarked, before the close of business on the fifteenth day of the month; otherwise, the delinquent bill will be assessed the late payment penalty approved and on-file with the Public Service Commission
- e) The late payment penalty will be assessed on the delinquent amount of the bill, less taxes and any prior penalty amounts. Pursuant to 807 KAR 5:006 Section 8 (3)(h), a penalty may be assessed only once on any bill for rendered services.
- f) With the exception of existing connections, the existence of a special contract, or unusual circumstances requiring approval of the utility, a single meter can serve no more than one residential or commercial unit on and after the effective date of this tariff.
- g) For existing connections, special contracts, or other utility approved situations, where two or more units are being served by one meter, the following rules will apply:
 - 1) One bill per meter will be sent to the customer that signed the Water User Agreement.
 - 2) The bill will consist of a charge in the amount of the utility's minimum bill multiplied by the number of units the meter serves. The amount of water included with a minimum bill will be multiplied by the number of units and deducted from the total amount of consumption. The remaining consumption will be evenly distributed among each unit, added to each unit's minimum bill, with the charges calculated in accordance with the currently approved rate schedule.
 - 3) The customer that signed the Water User Agreement will be fully and solely responsible for the charges associated with the connection including payment for all water passing through the meter, regardless of which unit is responsible for the water consumption

DATE OF ISSUE _____
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ISSUED BY Mitchell Crooks
(Signature of Officer)

TITLE Chairman

BY AUTHORITY OF ORDER OF THE PUBLIC SERVICE COMMISSION
IN CASE NO. _____ DATED _____

PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

SEP 15 2003

PURSUANT TO 807 KAR 5:011
SECTION 9 (1)
BY Charles H. Brown
EXECUTIVE DIRECTOR

FOR Salt Lick, Kentucky
Community, Town or City

P.S.C. KY. NO. 1

Original SHEET NO. 15

Bath County Water District
(Name of Utility)

CANCELLING P.S.C. KY. NO. _____

_____ SHEET NO. _____

RULES AND REGULATIONS

D. Deposits.

1. Deposits to secure payment. The utility may require a minimum cash deposit or other guaranty to secure payment of bills.
2. Equal Deposits. An equal deposit amount for each class of customers will be established based on the average annual bill of customers in that class. Deposit amounts will not exceed two-twelfths (2/12) of the average annual bill of customers in each class where bills are rendered monthly. Deposit amounts are listed in the Rates and Charges section of this tariff.
3. Recalculation of deposits. If the utility retains the deposit for more than eighteen (18) months, it will notify customers in writing that, at the customer's request; the deposit will be recalculated every eighteen- (18) months based on actual usage of the customer. The notice of deposit recalculation will be included either on the customer's application for service or on the receipt of deposit, or may be included annually with or on customer bills. The notice of deposit recalculation will state that if the deposit on account differs by more than ten (10) dollars for residential customers, or by more than ten (10) percent for nonresidential customers, from the deposit calculated on actual usage, then the utility will refund any over-collection and may collect any underpayment. Refunds will be made either by check or by credit to the customer's bill, except that the utility will not refund any excess deposit if the customer's bill is delinquent at the time of recalculation.
4. Waiver of Deposits. The deposit may be waived upon a customer's showing of satisfactory credit or payment history. In determining whether a deposit will be required or waived, the following criteria will be considered:
 - a) Previous payment history with the utility. If the customer has no previous history with the utility, statements from other utilities, banks, etc. may be presented by the customer as evidence of good credit.
 - b) Whether the customer has an established income or line of credit.

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ISSUED BY Mitchell _____
(Signature of Officer)

TITLE Chairman

BY AUTHORITY OF ORDER OF THE PUBLIC SERVICE COMMISSION

IN CASE NO. _____ DATED _____

PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

SEP 15 2003

PURSUANT TO 807 KAR 5.011
SECTION 9 (1)

BY Thomas L. Dineen
EXECUTIVE DIRECTOR

FOR Salt Lick, Kentucky
Community, Town or City

P.S.C. KY. NO. 1

Original SHEET NO. 16

Bath County Water District
(Name of Utility)

CANCELLING P.S.C. KY. NO. _____

_____ SHEET NO. _____

RULES AND REGULATIONS

- c) Length of time the customer has resided or been located in the area.
 - d) Whether the customer owns the property to be served.
 - e) Whether another customer with a good payment history is willing to sign as a guarantor for an amount equal to the required deposit.
5. Additional deposit requirement. If a deposit has been waived or returned and the customer fails to maintain a satisfactory payment record, the utility may require that a deposit be made. The utility may require a deposit in addition to the initial deposit if the customer's classification of service changes or if there is a substantial change in usage.
6. Receipt of deposit. The utility will issue a receipt to every customer that pays a deposit. The receipt will show the name of the customer, location of the service or customer account number, date, and amount of deposit. If the notice of recalculation described in this section is not included in the utility's application for service or mailed with customer bills, the receipt of deposit will contain the notification. If deposit amounts change, the utility will issue a new receipt of deposit to the customer.
7. Deposits as a condition of service. Service may be refused or discontinued if payment of requested deposits is not made.
8. Interest on deposits. Interest will accrue on all deposits at the rate prescribed by law beginning on the date of the deposit. Interest accrued will be refunded to the customer or credited to the customer's bill on an annual basis, except that the utility will not be required to refund or credit interest on deposits if the customer's bill is delinquent on the anniversary of the deposit date. Upon termination of service, the deposit, any principal amounts, and interest earned and owing will be credited to the final bill with any remainder refunded to the customer.

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ISSUED BY 
(Signature of Officer)

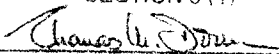
TITLE Chairman

BY AUTHORITY OF ORDER OF THE PUBLIC SERVICE COMMISSION
IN CASE NO. _____ DATED _____

PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

SEP 15 2003

PURSUANT TO 807 KAR 5:011
SECTION 9 (1)

BY 
EXECUTIVE DIRECTOR

FOR Salt Lick, Kentucky
Community, Town or City

P.S.C. KY. NO. 1

Original SHEET NO. 17

Bath County Water District
(Name of Utility)

CANCELLING P.S.C. KY. NO. _____

_____ SHEET NO. _____

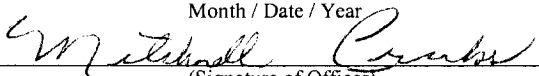
RULES AND REGULATIONS

E. Special Non-recurring Charges:

1. The utility will collect for special nonrecurring charges to recover customer-specific costs incurred which would otherwise result in monetary loss to the utility or increased rates to other customers to whom no benefits accrue from the service provided or action taken. The utility may establish or change any special nonrecurring charge by applying for Public Service Commission approval of such charge in accordance with the provisions of 807 KAR 5:011, Section 10.
2. Special nonrecurring charges will be applied uniformly throughout the area served by the utility. Such charges will relate directly to the service performed or action taken and only yield enough revenue to pay the expenses incurred in rendering the service.
3. The utility will assess a charge for the following non-recurring services:
 - a) Connection/Turn-on Charge: Will be assessed for new service turn-ons, seasonal turn-ons, temporary service, or transfer of service. The charge will not be made for initial installation of service where a meter connection/tap-on charge is applicable.
 - b) Field Collection Charge: Will be assessed when a utility representative visits the premises of the service connection to terminate service, and the customer is on-site and pays the bill to avoid termination of service. This fee may only be charged once per billing period.
 - c) Late Payment Penalty: Will be assessed on the delinquent amount of the bill, less taxes.
 - d) Meter Relocation Charge: Will be assessed when a customer or other authorized person requests that a meter be relocated, changed, or modified. Those requesting a change must reimburse the utility for the actual costs

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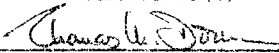
TITLE Chairman

BY AUTHORITY OF ORDER OF THE PUBLIC SERVICE COMMISSION
IN CASE NO. _____ DATED _____

PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

SEP 15 2003

PURSUANT TO 807 KAR 5:011
SECTION 9 (1)

BY 
EXECUTIVE DIRECTOR

FOR Salt Lick, Kentucky
Community, Town or City

P.S.C. KY. NO. 1

Original SHEET NO. 18

Bath County Water District
(Name of Utility)

CANCELLING P.S.C. KY. NO. _____

_____ SHEET NO. _____



RULES AND REGULATIONS

incurred, including but not limited to appropriate legal, administrative, engineering, overhead, or other related costs.

- e) Meter Re-read Charge: Will be assessed when a customer requests the utility to re-read the customer's meter and the re-read proves that the original meter reading was correct.
- f) Meter Test Charge: Will be assessed when a customer requests the utility perform a test on the customer's meter to check for accuracy, and the test shows the customer's meter is not more than two percent (2%) fast.
- g) Reconnection Charge: Will be assessed to reconnect service that has been terminated for non-payment of service or for violation of Utility or Public Service Commission rules and regulations, and will include the cost of the service trip for both the disconnection and the reconnection.
- h) Returned Check Charge: Will be assessed when a customer's check is returned, either due to insufficient funds or other reason due to customer fault.
- i) Service Call/Investigation Charge: Will be assessed when a customer requests the onsite presence of utility personnel to investigate a service problem and the problem is a result of the customer's own plumbing facilities, beyond the utility's delivery point, or not caused by failure of utility facilities. Any maintenance and repair of facilities beyond the utility's delivery point is the responsibility of the customer.
- j) Service Line Inspection Charge: Will be assessed to inspect a customer's service line from the point of delivery at the meter service to the point of usage. The service line inspection charge will be waived if confirmation is received from the Kentucky State Plumbing Inspector that a state plumbing

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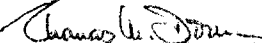
TITLE Chairman

BY AUTHORITY OF ORDER OF THE PUBLIC SERVICE COMMISSION
IN CASE NO. _____ DATED _____

PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

SEP 15 2003

PURSUANT TO 807 KAR 5:011
SECTION 9 (1)

BY 
EXECUTIVE DIRECTOR

FOR Salt Lick, Kentucky
Community, Town or City

P.S.C. KY. NO. 1

Original SHEET NO. 19

Bath County Water District
(Name of Utility)

CANCELLING P.S.C. KY. NO. _____

_____ SHEET NO. _____

RULES AND REGULATIONS

permit has been obtained and the State Plumbing Inspector will inspect the service line.

F. Customer Complaints to the Utility. Upon complaint to the utility by a customer at the utility's office, by telephone, or in writing, the utility will make a prompt and complete investigation and advise the complainant of its findings. The utility's operator/manager will make a decision within ten (10) days, which the complainant will then have ten (10) days to appeal to the utility's board of commissioners. The customer will receive a final decision from the utility no later than thirty (30) days following the date that the complaint was made. If the complainant is not satisfied with the utility's decision, the utility will provide written notice to the complainant of his/her right to appeal the utility's decision by filing a complaint with the Public Service Commission. The utility will also provide the customer with the address and telephone number of the Public Service Commission. The utility will keep a record of all written complaints. This record will show the name and address of the complainant, the date and nature of the complaint, and the adjustment or disposition of the complaint. Records will be maintained for two (2) years from the date of resolution of the complaint.

G. Bill Adjustments:

1. Fast or slow reading meters:

- a) If upon periodic test, requested test, or complaint test, a meter in service is found to be more than two (2) percent fast, additional tests will be made to determine the average error of the meter. The tests will be made in accordance with Public Service Commission rules and regulations applicable to the type of meter involved.
- b) If test results on a customer's meter show an average error greater than two (2) percent fast or slow, or if a customer has been incorrectly billed for any other reason, except in an instance where a utility has filed a verified complaint with

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ISSUED BY Mitchell C. ...
(Signature of Officer)

TITLE Chairman

BY AUTHORITY OF ORDER OF THE PUBLIC SERVICE COMMISSION
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PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

SEP 15 2003

PURSUANT TO 807 KAR 5-011
SECTION 9 (1)

BY Charles L. ...
EXECUTIVE DIRECTOR

FOR Salt Lick, Kentucky
Community, Town or City

P.S.C. KY. NO. 1

Original SHEET NO. 20

Bath County Water District
(Name of Utility)

CANCELLING P.S.C. KY. NO. _____

_____ SHEET NO. _____

RULES AND REGULATIONS

the appropriate law enforcement agency alleging fraud or theft by a customer, the utility will immediately determine the period during which the error has existed, and will recompute and adjust the customer's bill to either provide a refund to the customer or collect an additional amount of revenue from the underbilled customer. Any adjustment to the customer's account will be in accordance with the rules and regulations of the Public Service Commission pursuant to 807 KAR 5:066 Section 9(c).

- c) The utility will readjust the account based upon the period during which the error is known to have existed. If the period during which the error existed cannot be determined with reasonable precision, the time period will be estimated using such data as elapsed time since the last meter test, if applicable, and historical usage data for the customer. If that data is not available, the average usage of similar customer loads will be used for comparison purposes in calculating the time period. If the customer and the utility are unable to agree on an estimate of the time period during which the error existed, the Public Service Commission will determine the issue. In all instances of customer overbilling, the customer's account will be credited or the overbilled amount refunded at the discretion of the customer within thirty (30) days after final meter test results. A utility will not require customer repayment of any underbilling to be made over a period shorter than a period coextensive with the underbilling.
2. Meter read failure. When a meter has ceased to register, or a meter reading cannot be obtained, the quantity of water to be billed will be based upon an average of twelve-months' consumption. If said meter readings are not available for an entire twelve-month period, the water bill will be estimated by the utility, subject to an upward or downward adjustment once a twelve-month average of actual meter readings can be calculated.

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ISSUED BY *Mitchell*
(Signature of Officer)

TITLE Chairman

BY AUTHORITY OF ORDER OF THE PUBLIC SERVICE COMMISSION
IN CASE NO. _____ DATED _____

PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

SEP 15 2003

PURSUANT TO 807 KAR 5:011
SECTION 9 (1)

BY *Charles L. Brown*
EXECUTIVE DIRECTOR

FOR Salt Lick, Kentucky
Community, Town or City

P.S.C. KY. NO. 1

Original SHEET NO. 21

Bath County Water District
(Name of Utility)

CANCELLING P.S.C. KY. NO. _____

_____ SHEET NO. _____

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3. Monitoring usage. The utility will monitor a customer's usage at least annually in such a way to draw the utility's attention to unusual deviations in a customer's usage. If a customer's usage is unduly high (100% above average) and the deviation is not otherwise explained, the utility will test the customer's meter to determine whether the meter shows an average error greater than two (2) percent fast or slow.
4. Usage investigation. If the utility's procedure for monitoring usage indicates that an investigation of a customer's usage is necessary, the utility will notify the customer in writing either during or immediately after the investigation of the reasons for the investigation, and of the findings of the investigation. If knowledge of a serious situation requires more expeditious notice, the utility will notify the customer by the most expedient means available.
5. Customer notification. If a meter is tested and it is found necessary to make a refund or back bill a customer, the customer will be notified in substantially the following form:

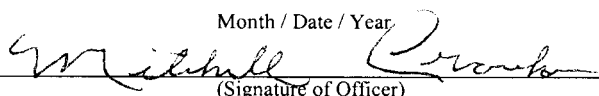
On _____, 20____, the meter bearing identification No. _____ installed in your building located at _____ (Street and Number) in _____ (city) was tested at _____ (on premises or elsewhere) and found to register _____ (percent fast or slow). The meter was tested on _____ (Periodic, Request, Complaint) test.

Based upon this we herewith _____ (charge or credit) with the sum of \$____, which amount has been noted on your regular bill. If you desire a cash refund, rather than a credit to your account, of any amount overbilled, you must notify this office in writing within seven (7) days of the date of this notice.

- H. Status of Customer Accounts during Billing Disputes. With respect to any billing dispute, customer accounts shall be considered to be current while the dispute is pending as long as the customer continues to make undisputed payments and stays current on subsequent bills.

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Month / Date / Year
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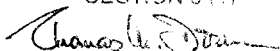
TITLE Chairman

BY AUTHORITY OF ORDER OF THE PUBLIC SERVICE COMMISSION
IN CASE NO. _____ DATED _____

PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

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PURSUANT TO 807 KAR 5.011
SECTION 9 (1)

BY 
EXECUTIVE DIRECTOR

FOR Salt Lick, Kentucky
Community, Town or City

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Original SHEET NO. 22

Bath County Water District
(Name of Utility)

CANCELLING P.S.C. KY. NO. _____

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I. Customer's Request for Termination of Service.

1. Any customer desiring service terminated or changed from one address to another shall give the utility three (3) working days' notice in person, in writing, or by telephone, provided such notice does not violate contractual obligations. The customer will not be responsible for charges for service beyond the three- (3) day notice period if the customer provides proper notification and reasonable access to the meter during the notice period. If the customer notifies the utility of his/her request for termination by telephone, the burden of proof is on the customer to prove that service termination was requested if a dispute arises.
2. Upon request that service be reconnected at any premises subsequent to the initial installation or connection to its service lines, the utility will charge the applicant a reconnect fee as set out in this tariff and approved by the Public Service Commission

J. Customer Relations.

1. Display of customer rights. The utility will prominently display in the office in which payment is received a copy of Customer's Rights. If a customer indicates to any utility personnel that he is experiencing difficulty in paying a current utility bill, that employee will refer the customer to the designated representative for explanation of the customer's rights.
2. Partial payment plans. The utility shall negotiate and accept reasonable partial payment plans at the request of residential customers who have received a termination notice for failure to pay, except that a utility is not required to negotiate a partial payment plan with a customer who is delinquent under a previous partial payment plan. Partial payment plans must be mutually agreed upon. Plans which extend for a period longer than thirty (30) days will be in writing and will advise customers that service may be terminated without additional notice if the customer fails to meet the obligations of the plan.
3. Utility inspections of service conditions prior to providing service. The utility will inspect the condition of the meter and service connections before providing service to a new customer so

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ISSUED BY Mitchell Crabbs
(Signature of Officer)

TITLE Chairman

BY AUTHORITY OF ORDER OF THE PUBLIC SERVICE COMMISSION
IN CASE NO. _____ DATED _____

PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

SEP 15 2003

PURSUANT TO 807 KAR 5:011
SECTION 9(1)

BY Charles W. Brown
EXECUTIVE DIRECTOR

FOR Salt Lick, Kentucky
Community, Town or City

P.S.C. KY. NO. 1

Original SHEET NO. 23

Bath County Water District
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that prior or fraudulent use of the facilities will not be attributed to the new customer. The new customer will be afforded the opportunity to be present at such inspections. The utility will not be required to render service to any customer until any defects in the customer-owned portion of the service facilities have been corrected.

4. Prompt connection of service. The utility will reconnect existing service within twenty-four (24) hours, and will install and connect new service within seventy-two (72) hours, when the cause for refusal or discontinuance of service has been corrected and the rules and regulations of the utility and Public Service Commission have been met.
5. Advance termination notice. When advance termination notice is required, the termination notice will be mailed or otherwise delivered to the last known address of the customer. The termination notice will be in writing, distinguishable and separate from any bill. The termination notice will plainly state the reason for termination, that the termination date will not be affected by receipt of any subsequent bill, and that the customer has the right to dispute the reasons for termination.

K. Refusal or Termination of Service.

1. The utility may refuse service to a customer under the following conditions:
 - a) For noncompliance with utility or Public Service Commission rules and regulations. The utility cannot refuse service to any customer for noncompliance without first having made a reasonable effort to obtain customer compliance. After such effort by the utility, service may be refused only after the customer has been given a written notice of refusal stating the reasons for refusal of service.
 - b) For dangerous conditions. If a dangerous condition exists which could subject any person to imminent harm or result in substantial damage to the property of the utility or others is found to exist on the customer's premises, then service will be refused. The utility will notify the customer in writing and, if possible, orally for the reasons

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ISSUED BY *Mitchell* *Crosby*
(Signature of Officer)

TITLE Chairman

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IN CASE NO. _____ DATED _____

PUBLIC SERVICE COMMISSION
OF KENTUCKY
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PURSUANT TO 807 KAR 5.011
SECTION 9(1)

BY *Chas. L. Brown*
EXECUTIVE DIRECTOR

FOR Salt Lick, Kentucky
Community, Town or City

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(Name of Utility)

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for refusal of service. Such notice will be recorded by the utility and will include the corrective action to be taken by the customer before service can be provided.

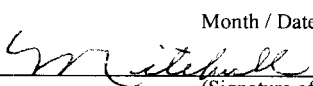
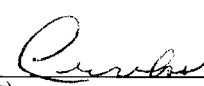
- c) For refusal of access. When a customer refuses or neglects to provide reasonable access to the premises for installation, operation, meter reading, maintenance or removal of utility property, the utility may refuse service. The utility will notify the customer in writing and, if possible, orally for the reasons for refusal of service. Such notice will be recorded by the utility and will include the corrective action to be taken by the customer before service can be provided.
- d) For outstanding indebtedness. The utility will not furnish new service to any customer who is indebted to the utility until that customer has repaid the indebtedness.
- e) For noncompliance with state, local, or other codes. The utility may refuse service to a customer if the customer does not comply with state, municipal or other codes, rules, and/or administrative regulations applying to such service. The utility will notify the customer in writing and, if possible, orally for the reasons for refusal of service. Such notice will be recorded by the utility and will include the corrective action to be taken by the customer before service can be provided.

2. Utility Initiated Termination of Service.

- a) The termination notice requirements stated herein will not apply if termination notice requirements to a particular customer or customers are otherwise dictated by the terms of a special contract between the utility and customer which has been approved by the Public Service Commission.
- b) When advance termination notice is required, the termination notice shall be mailed or otherwise delivered to the last known address of the customer. The termination notice shall be in writing, distinguishable and separate from any bill. The termination notice shall plainly state the reason for termination, that the termination date will not

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(Signature of Officer)

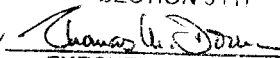
TITLE Chairman

BY AUTHORITY OF ORDER OF THE PUBLIC SERVICE COMMISSION
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PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

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PURSUANT TO 807 KAR 5:011
SECTION 9(1)

BY 
EXECUTIVE DIRECTOR

FOR Salt Lick, Kentucky
Community, Town or City

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Original SHEET NO. 25

Bath County Water District
(Name of Utility)

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RULES AND REGULATIONS

be affected by receipt of any subsequent bill, and that the customer has the right to dispute the reasons for termination.

c) The utility may terminate service to a customer under the following conditions with an advance termination notice:

- 1) For noncompliance with utility or Public Service Commission rules and regulations. The utility cannot terminate service to any customer for noncompliance without first having made a reasonable effort to obtain customer compliance. After such effort by the utility, service may be terminated only after the customer has been given at least ten (10) days written termination notice.
- 2) For refusal of access. When a customer refuses or neglects to provide reasonable access to the premises for installation, operation, meter reading, maintenance, or removal of utility property, the utility may terminate service. Such action will be taken only when corrective action negotiated between the utility and customer has failed to resolve the situation and after the customer has been given at least ten (10) days' written notice of termination.
- 3) For noncompliance with state, local, or other codes. The utility may terminate service to a customer that does not comply with state, municipal, and/or other codes, rules, and regulations that apply to such service. A utility may terminate service only after ten (10) days' written notice of termination is provided unless ordered to terminate immediately by a governmental official.
- 4) For nonpayment of bills. The utility may terminate service for nonpayment of charges incurred for utility services. The utility may terminate service only after five (5) days' written notice of termination is provided, and after twenty (20) days have elapsed since the mailing date of the original unpaid bill.

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ISSUED BY *Mitchell* _____
Month / Date / Year
(Signature of Officer)

TITLE Chairman

BY AUTHORITY OF ORDER OF THE PUBLIC SERVICE COMMISSION
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PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

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PURSUANT TO 807 KAR 5.011
SECTION 9 (1)

BY *Charles H. Don* _____
EXECUTIVE DIRECTOR

FOR Salt Lick, Kentucky
Community, Town or City

P.S.C. KY. NO. 1

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Bath County Water District
(Name of Utility)

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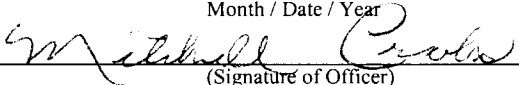
RULES AND REGULATIONS

d) The utility may terminate service to a customer if the following conditions exist without an advance termination notice. Within twenty-four (24) hours after such termination, the utility shall send written notification to the customer of the reason(s) for termination upon which the utility relies, and of the customer's right to challenge the termination by filing a formal complaint with the Public Service Commission. The utility will not restore service until the customer agrees to comply with all rules and regulations of the utility and Public Service Commission.

- 1) For illegal use or theft of service. The utility may terminate service to a customer without advance notice if it has evidence that a customer has obtained unauthorized service by illegal use or theft. This right of termination is separate from and in addition to any other legal remedies that the utility may pursue for illegal use or theft of service.
- 2) For dangerous conditions. If a dangerous condition relating to the utility's service which could subject any person to imminent harm or result in substantial damage to the property of the utility or others is found to exist on the customer's premises, then service will be terminated immediately. Upon termination the utility will leave notification at the customer's dwelling and, if possible, orally contact the customer to inform him/her of the reasons for the termination. Such notice will be recorded by the utility and will include the corrective action to be taken by the customer or utility before service can be restored.
- 3) Unapproved Extensions and/or Additions. Any extension or additions to an existing service connection that have not been approved by the utility will be considered theft of service, and will constitute grounds for termination of service. This right of termination is separate from and in addition to any other legal remedies that the utility may pursue for illegal use or theft of service.

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(Signature of Officer)

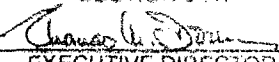
TITLE Chairman

BY AUTHORITY OF ORDER OF THE PUBLIC SERVICE COMMISSION
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PUBLIC SERVICE COMMISSION
OF KENTUCKY
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PURSUANT TO 807 KAR 5:011
SECTION 9 (1)

BY 
EXECUTIVE DIRECTOR

FOR Salt Lick, Kentucky
Community, Town or City

P.S.C. KY. NO. 1

Original SHEET NO. 27

Bath County Water District
(Name of Utility)

CANCELLING P.S.C. KY. NO. _____

_____ SHEET NO. _____

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- 4) Misrepresentation. Any misrepresentation in the application or contract as to the property or fixtures to be supplied or additional use to be made of water will constitute grounds for termination of service, and the customer shall be liable for any damage to any of the utility's facilities or equipment.
 - 5) Failure to Report Changes. Failure to notify the utility of additions to the property or fixtures to be supplied or additional use to be made of water will constitute grounds for termination of service.
 - 6) Resale of Water. Under no circumstances will a customer be allowed to resell or give away water except under the terms of a special contract executed by the utility and approved by the Public Service Commission. Failure to comply with this rule will constitute grounds for termination of service.
 - 7) Waste or Misuse. Waste or misuse of water due to improper or imperfect service pipes and/or failure to keep said pipes in suitable state of repair will constitute grounds for termination of service.
 - 8) Tampering with meter, meter seal, service, valves, or other system facilities, or permitting such tampering by others will constitute grounds for termination of service.
 - 9) Connections, cross-connections, or permitting the same, of any separate water supply to premises that receive water from the utility will constitute grounds for termination of service.
- e) The utility will not terminate service to a customer if the following conditions exist:
- 1) If payment for services is made. Service will not be terminated to a customer that was sent a termination notice if the customer delivers full payment to the utility prior to the actual termination of service.

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ISSUED BY Mitchell Crooks
(Signature of Officer)

TITLE Chairman

BY AUTHORITY OF ORDER OF THE PUBLIC SERVICE COMMISSION
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PUBLIC SERVICE COMMISSION
OF KENTUCKY
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PURSUANT TO 807 KAR 5:011
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BY Thomas L. Dorn
EXECUTIVE DIRECTOR

FOR Salt Lick, Kentucky
Community, Town or City

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Original SHEET NO. 28

Bath County Water District
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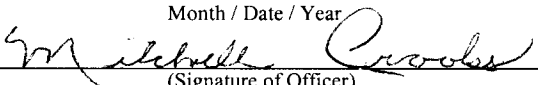
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RULES AND REGULATIONS

- 2) If a partial payment agreement is in effect. Service will not be terminated for nonpayment if the customer and the utility have entered into a partial payment plan and the customer is meeting the requirements of the plan.
- 3) If a medical certificate is presented. Service will not be terminated for thirty (30) days beyond the termination date if a physician, registered nurse or public health officer certifies in writing that termination of service will aggravate a debilitating illness or infirmity on the affected premises. The utility may refuse to grant consecutive extensions for medical certificates past the original thirty (30) days unless the certificate is accompanied by an agreed partial payment plan. The utility will not require a new deposit from a customer to avoid termination of service for a thirty (30) day period who presents to the utility a medical certificate certified in writing by a physician, registered nurse or public health officer.

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(Signature of Officer)

TITLE Chairman

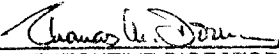
BY AUTHORITY OF ORDER OF THE PUBLIC SERVICE COMMISSION

IN CASE NO. _____ DATED _____

PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

SEP 15 2003

PURSUANT TO 807 KAR 5:011
SECTION 9 (1)

BY 
EXECUTIVE DIRECTOR

FOR Salt Lick, Kentucky
Community, Town or City

P.S.C. KY. NO. 1

Original SHEET NO. 29

Bath County Water District
(Name of Utility)

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RULES AND REGULATIONS

L. Meter Testing.

1. Water meters will be tested before being installed for use by any customer. The water meter will be in good working order and adjusted as close to the optimum operating tolerance as possible, in accordance with 807 KAR 5:022, Section 8(3)(a), 807 KAR 5:041, Section 17(1)(a)-(c) and 807 KAR 5:066, Section 15(2)(a)-(b).
2. The utility may have all or part of its meter testing performed by another utility or agency approved by the Public Service Commission. The utility will notify the Public Service Commission of the make, type, and serial number of standards used for testing.
3. The utility cannot place in service any basic measurement standard unless the Public Service Commission has approved the calibration. The Public Service Commission will be notified promptly of the adoption or deletion of any basic standards requiring approval of the calibration.
4. Meter testers must be certified by the Public Service Commission. Certified meter testers will perform tests as necessary to determine the accuracy of the utility's meters and to adjust the utility's meters to the degree of accuracy required by the rules and regulations of the Public Service Commission.

M. Meter Test Records.

1. A complete record of all meter tests and adjustments and data sufficient to allow checking of test calculations will be recorded by the meter tester. Such record will include: information to identify the unit and its location; date of tests; reason for such tests; readings before and after test; statement of "as found" and "as left" accuracies sufficiently complete to permit checking of calculations employed; notations showing that all required checks have been made; statement of repairs made, if any; identifying number of the meter; type and capacity of the meter; and the meter constant. The complete record of tests of each meter will be continuous for at least two (2) periodic test periods and will in no case be less than two (2) years.

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Month / Date / Year

ISSUED BY Mitchell Crocker
(Signature of Officer)

TITLE Chairman

BY AUTHORITY OF ORDER OF THE PUBLIC SERVICE COMMISSION
IN CASE NO. _____ DATED _____

PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

SEP 15 2003

PURSUANT TO 807 KAR 5:011
SECTION 9 (1)

BY Charles L. Dorn
EXECUTIVE DIRECTOR

FOR Salt Lick, Kentucky
Community, Town or City

P.S.C. KY. NO. 1

Original SHEET NO. 30

Bath County Water District
(Name of Utility)

CANCELLING P.S.C. KY. NO. _____

_____ SHEET NO. _____

RULES AND REGULATIONS

2. The utility will keep numerically arranged and properly classified records for each meter owned, used and inventoried by the utility. The identification number, date of purchase, name of manufacturer, serial number, type, rating, and name and address of each customer on whose premises the meter has been in service with date of installation and removal will be included in the records. These records will also contain condensed information concerning all tests and adjustments including dates and general results of such adjustments. The records will reflect the date of the last test and indicate the proper date for the next periodic test required by the applicable Public Service Commission rule and/or regulation.
3. Upon completion of adjustment and test of any meter pursuant to Public Service Commission rules and regulations, the utility may affix to the meter a suitable seal in such a manner that adjustments or registration of the meter cannot be altered without breaking the seal. (Installed when conditions are deemed necessary.)

N. Customer Requested Meter Tests.

1. The utility will make a test of any meter upon written request of any customer if the request is not made more frequently than once every twelve- (12) months. The customer shall be given the opportunity to be present at the requested test. If the test shows that the meter was not more than two (2) percent fast, the utility will make a reasonable charge for the test, the amount being approved by the Public Service Commission and set out in the utility's tariff.
2. After having first obtained a test from the utility, any customer of the utility may request a meter test by the Public Service Commission upon written application. Such request shall not be made more frequently on one (1) meter than once every twelve- (12) months.

O. Access to Property.

1. The utility shall at all reasonable hours have access to meters, service connections, and other property owned by it and located on customer's premises for purposes of installation, maintenance, meter reading, operation, replacement or removal of its property at the time

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ISSUED BY Mitchell Crooks
(Signature of Officer)

TITLE Chairman

BY AUTHORITY OF ORDER OF THE PUBLIC SERVICE COMMISSION
IN CASE NO. _____ DATED _____

PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

SEP 15 2003

PURSUANT TO 607 KAR 5.011
SECTION 9 (1)

BY Charles L. Brown
EXECUTIVE DIRECTOR

FOR Salt Lick, Kentucky
Community, Town or City

P.S.C. KY. NO. 1

Original SHEET NO. 31

Bath County Water District
(Name of Utility)

CANCELLING P.S.C. KY. NO. _____

_____ SHEET NO. _____


RULES AND REGULATIONS

service is terminated. Any employee of the utility whose duties require him/her to enter the customer's premises will wear a distinguishing uniform or other insignia identifying him/her as an employee of the utility, or show a badge or other identification which will identify him/her as an employee.

2. Obtaining easements and right-of-ways necessary to extend service will be the responsibility of the utility.
 3. All customers must grant, convey, or cause to be granted or conveyed to the utility a perpetual easement and right-of-way across any property owned or controlled by the customer wherever necessary for the utility's facilities in order to provide service.
 4. The utility cannot require a prospective customer to obtain easements or rights-of-way on property not owned by the prospective customer as a condition for providing service. However, the cost of obtaining easements or rights-of-way will be included in the total per foot cost of an extension, and will be apportioned among the utility and customer in accordance with the applicable extension administrative regulation.
- P. Location of Records. All records required by Public Service Commission rules and regulations will be kept in the office of the utility and will be made available to representatives, agents or staff of the Public Service Commission upon reasonable notice at all reasonable hours.
- Q. Safety Program. The utility will adopt and execute a safety program, appropriate to the size and type of its operations. At a minimum, the safety program will:
1. Establish a safety manual with written guidelines for safe working practices and procedures to be followed by utility employees.
 2. Instruct employees in safe methods of performing their work.
 3. Instruct employees who, in the course of their work, are subject to the hazard of electrical shock, asphyxiation or drowning, in accepted methods of artificial respiration.

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ISSUED BY 
(Signature of Officer)

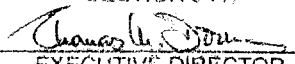
TITLE Chairman

BY AUTHORITY OF ORDER OF THE PUBLIC SERVICE COMMISSION
IN CASE NO. _____ DATED _____

PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

SEP 15 2003

PURSUANT TO 807 KAR 5:011
SECTION 9 (1)

BY 
EXECUTIVE DIRECTOR

FOR Salt Lick, Kentucky
Community, Town or City

P.S.C. KY. NO. 1

Original SHEET NO. 32

Bath County Water District
(Name of Utility)

CANCELLING P.S.C. KY. NO. _____

_____ SHEET NO. _____

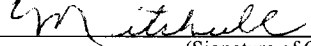
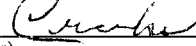
RULES AND REGULATIONS

R. System Inspections.

1. The utility will adopt inspection procedures to assure safe and adequate operation of its facilities and compliance with Public Service Commission rules and regulations. These procedures will be filed with the Public Service Commission for review.
2. Upon receipt of a report of a potentially hazardous condition at any utility facility made by a qualified employee, public official, or customer, the utility will inspect all portions of the system which are the subjects of the report.
3. Appropriate records will be kept by the utility to identify the inspection made, deficiencies found and action taken to correct the deficiencies.
4. Inspections. The utility will make systematic inspections of its system in the manner set out below to insure that the Public Service Commission's safety requirements are being met. These inspections will be made as often as necessary but not less frequently than is set forth below for various classes of facilities and types of inspection.
 - a) The utility will annually inspect all structures pertaining to source of supply for their safety and physical and structural integrity.. The utility will semiannually inspect supply wells, their motors and structures, including electric power wiring and controls for proper and safe operation.
 - b) The utility will annually inspect all structures pertaining to purification for their safety, physical and structural integrity and for leaks, including chemical feed equipment; pumping equipment and water storage facilities, including electric power wiring and controls; hydrants, mains, and valves.
 - c) The utility will monthly inspect construction equipment and vehicles for defects, wear, operational hazards, lubrication, and safety features.

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TITLE Chairman

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PUBLIC SERVICE COMMISSION
OF KENTUCKY
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SEP 15 2003

PURSUANT TO 807 KAR 5:011
SECTION 9 (1)

BY 
EXECUTIVE DIRECTOR

FOR Salt Lick, Kentucky
Community, Town or City

P.S.C. KY. NO. 1

Original SHEET NO. 33

Bath County Water District
(Name of Utility)

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_____ SHEET NO. _____

RULES AND REGULATIONS

S. Reporting of Accidents, Property Damage, or Loss of Service.

1. Within two (2) hours following discovery the utility will notify the Public Service Commission by telephone or electronic mail of any utility related accident which results in:
 - a) Death; or shock or burn requiring medical treatment at a hospital or similar medical facility, or any accident requiring inpatient overnight hospitalization;
 - b) Actual or potential property damage of \$25,000 or more; or
 - c) Loss of service for four (4) or more hours to ten (10) percent or 500 or more of the utility's customers, whichever is less.
2. A summary written report will be submitted by the utility to the Public Service Commission within seven (7) calendar days of the utility related accident.

T. Continuity of Service.

1. Emergency interruptions. The utility will make all reasonable efforts to prevent interruptions of service and when such interruptions occur will endeavor to reestablish service with the shortest possible delay consistent with the safety of its consumers and the general public. If an emergency interruption of service affects service to any public fire protection device, the utility will immediately notify the fire chief or other public official responsible for fire protection.
2. Scheduled interruptions. If the utility finds it necessary to schedule an interruption of its service, it will notify all customers to be affected by the interruption, stating the time and anticipated duration of the interruption. Whenever possible, scheduled interruptions will be made at hours of least inconvenience to customers. If public fire protection is provided by mains affected by the interruptions, the utility will notify the fire chief or other officials responsible for fire protection of the interruption, stating the time and anticipated duration.

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ISSUED BY Mitchell C. Cramer
(Signature of Officer)

TITLE Chairman

BY AUTHORITY OF ORDER OF THE PUBLIC SERVICE COMMISSION
IN CASE NO. _____ DATED _____

PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

SEP 15 2003

PURSUANT TO 807 KAR 5:011
SECTION 9 (1)

BY Charles H. Dorn
EXECUTIVE DIRECTOR

FOR Salt Lick, Kentucky
Community, Town or City

P.S.C. KY. NO. 1

Original SHEET NO. 34

Bath County Water District
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_____ SHEET NO. _____

RULES AND REGULATIONS

The fire chief or other official responsible for fire protection will be notified immediately upon restoration of service.

3. Record of interruptions. The utility will keep a complete record of all interruptions on its entire system. This record will show the cause of interruption, date, time, duration, remedy and steps taken to prevent recurrence.

U. Pressures.

1. Standard pressure. The utility will maintain a standard pressure in its distribution system at locations to be designated as the point or points of "standard pressure." The selection of such points will be confined to locations fairly representative of average conditions. In selecting points for fixed standard pressure, the utility may divide its distribution system into districts if division is necessary due to differences of elevation or loss of pressure because of friction, or both, and may either adopt a standard pressure for each division or establish a single standard pressure for its distribution system as a whole. In no case will the constant difference between the highest and lowest pressures in a district for which a standard has been adopted exceed fifty (50) percent of such standard. The utility may, in extenuating circumstances, furnish service that does not comply with the foregoing specifications if the customer is fully advised of the conditions under which average service may be expected. The Public Service Commission, upon investigation, may require improvements when it appears right and proper that such upgrades should be made. In no event, however, will the pressure at the customer's service pipe under normal conditions fall below thirty- (30) psig nor will the static pressure exceed 150 psig.
2. Pressure surveys. At least once a year the utility will make a survey of pressures in its distribution system of sufficient magnitude to indicate the quality of service being rendered at representative points in its system. Pressure charts for these surveys will show the date and time of beginning and end of the test and the location at which the test was made. Records of

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ISSUED BY Mitchell Crowder
(Signature of Officer)

TITLE Chairman

BY AUTHORITY OF ORDER OF THE PUBLIC SERVICE COMMISSION

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PUBLIC SERVICE COMMISSION
OF KENTUCKY
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PURSUANT TO 807 KAR 5.011
SECTION 9 (1)

BY Charles L. Brown
EXECUTIVE DIRECTOR

FOR Salt Lick, Kentucky
Community, Town or City

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Bath County Water District
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RULES AND REGULATIONS

these pressure surveys will be maintained at the utility's office and will be made available to the Public Service Commission upon request.

V. Service Lines & Connections.

1. The utility will furnish and install at its own expense for the purpose of connecting its distribution system to the customer's premises that portion of the service connection from its main to and including the meter and meter box. The utility will recoup this expense from the customer in accordance with KRS 278.0152.
2. In areas where the distribution system follows well-defined streets and roads, the customer's point of service will be located at that point on or near the street right-of-way or property line most accessible to the utility from its distribution system. In areas where the distribution system does not follow streets and roads, the point of service will be located as near the customer's property line as practicable. Prior to installation of the meter the utility will consult with the customer as to the most practical location.
3. Depth of service line. All service lines must be laid at a sufficient depth (a minimum of 24 inches) to prevent freezing during the coldest weather normally experienced except where services are not intended for use during freezing weather and are actually drained during such periods.
4. A plumbing permit from the appropriate regulatory agency is required before the utility can set the meter.
5. The applicant/customer must furnish and lay the necessary pipe to make the connection from the point of service to the point of usage and be financially responsible for all costs associated with the installation and maintenance of his/her service line plumbing, including a shut-off valve and one-way check valve, installed on his/her property beginning at the outlet side of the water meter. The service line must be kept in good repair and in accordance with utility and Public Service Commission rules and regulations.

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ISSUED BY *Mitchell* _____
(Signature of Officer)

TITLE Chairman

BY AUTHORITY OF ORDER OF THE PUBLIC SERVICE COMMISSION

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PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

SEP 15 2003

PURSUANT TO 807 KAR 5.011
SECTION 9 (1)

BY *Charles L. Dorn* _____
EXECUTIVE DIRECTOR

FOR Salt Lick, Kentucky
Community, Town or City

P.S.C. KY. NO. 1

Original SHEET NO. 36

Bath County Water District
(Name of Utility)

CANCELLING P.S.C. KY. NO. _____

_____ SHEET NO. _____

RULES AND REGULATIONS

6. The installation and maintenance of the water service line must be in accordance with the rules and regulations of the Kentucky Department of Health.
7. A cross-connection of the utility's system with any other source is strictly prohibited.
8. A well that has or is being used on the premises must be inspected by utility personnel to verify disconnection and separation.
9. All service lines on the customer's side of the meter must consist of copper or PVC pipe with a rating of no less than 200 psi, and should not be less than 3/4 inches.
10. Absolutely no galvanized pipe or fittings can be used in the installation.
11. The utility will not set a meter on a customer's service line at a point that does not deliver 30 psig at the meter.
12. If the applicant/customer's point of usage is at a higher elevation than the meter, the customer should consult with a reputable engineering firm to properly size the service line from the meter to the point of usage.
13. Should an applicant/customer desire a higher pressure due to location or other need, provisions must be made by the applicant for an individual pressure booster system. The manner of connection, location cross-connection protection and type is subject to approval by the utility. The utility reserves the right to require discontinuance and disconnection should the private booster system have a detrimental effect on the utility's system.
14. Piping on the premises of the applicant/customer must be installed so that connections are conveniently located with respect to the utility's lines and mains. A place must be provided for metering that is unobstructed and accessible at all times.
15. The utility may require the applicant/customer, at his/her own expense, to install a back-flow preventor and/or pressure regulator.

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ISSUED BY Mitchell Parks
(Signature of Officer)

TITLE Chairman

BY AUTHORITY OF ORDER OF THE PUBLIC SERVICE COMMISSION
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PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

SEP 15 2003

PURSUANT TO 807 KAR 5:011
SECTION 9 (1)

BY Charles L. Dorn
EXECUTIVE DIRECTOR

FOR Salt Lick, Kentucky
Community, Town or City

P.S.C. KY. NO. 1

Original SHEET NO. 37

Bath County Water District
(Name of Utility)

CANCELLING P.S.C. KY. NO. _____

_____ SHEET NO. _____

RULES AND REGULATIONS

16. All meters will be installed, renewed, and maintained at the expense of the utility, and the utility reserves the right to approve the size and type of meter used.
17. All taps and connections to the mains of the utility must be made by and/or under the direction and supervision of utility personnel and will incur a meter connection/tap-on charge, an amount that has been approved by the Public Service Commission for such service. Payment of this fee is for the privilege and expense of connecting to the water system and the payment of the fee does not constitute the purchase of a water meter.
18. Should an applicant requesting a 5/8" x 3/4" meter require service on the opposite side of the road from the water main, the utility will provide the service at no additional cost to the customer other than the standard meter connection/tap-on charge. All larger size meters will be charged the actual cost of installing the meter, including, when applicable, the additional costs for crossing the road.
19. Any customer having boilers and/or pressure vessels that receive water from the utility must have a check valve on the water supply line and a vacuum valve on the steam line in order to prevent a collapse were the water supply from the utility be interrupted or discontinued.
- W. Leak Adjustments. A customer may make a request for a bill adjustment in the event of a leak under the following conditions:
1. The customer must request a leak adjustment orally or in writing to the utility.
 2. The customer's bill will be based on two components. The first step will be to calculate the customer's average monthly usage over a twelve-month period. The second step will be to deduct the customers average monthly usage (as calculated in the above) from the total amount of water that passed through the meter. The usage calculated in step one will be billed at the utility's regular rates, while the remaining usage will be charged at the per thousand gallon leak adjustment rate, as set forth in the rates and charges portion of the utility's approved tariff. All water passing through the meter must be accounted and paid for

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ISSUED BY Mitchell Carver
(Signature of Officer)

TITLE Chairman

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PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

SEP 15 2003

PURSUANT TO 807 KAR 5.011
SECTION 9 (1)

BY Charles L. Dorn
EXECUTIVE DIRECTOR

FOR Salt Lick, Kentucky
Community, Town or City

P.S.C. KY. NO. 1

Original SHEET NO. 38

Bath County Water District
(Name of Utility)

CANCELLING P.S.C. KY. NO. _____

_____ SHEET NO. _____

RULES AND REGULATIONS

- by the customer. So the customer will owe the amount of his/her average bill plus the per thousand gallon leak adjustment rate for the remainder of the water that passed through the meter.
3. If meter readings are not available for an entire twelve-month period, the water bill will be estimated by the utility, subject to an upward or downward adjustment once a twelve-month average of actual meter readings can be calculated.
 4. Only one (1) leak adjustment will be made per twelve-month period, subject to review.
- X. Ownership of Mains, Services, and Appurtenances:
1. All mains, fire hydrants, valves, crossings, and other appurtenances are and shall remain the property of the utility, whether installed by the utility or the customer.
 2. All service lines from the main to the meter with appurtenances are and shall remain the property of the utility, whether installed by the utility or the customer.
 3. The customer shall install, own, and maintain his/her service line from the meter (or point of delivery) to the point of usage.
- Y. Notification of System Problems. The customer shall notify the utility immediately should the service be unsatisfactory for any reason, or should there be any defects, problems, trouble, or accidents affecting the water system.

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ISSUED BY *Michael Carter*
(Signature of Officer)

TITLE Chairman

BY AUTHORITY OF ORDER OF THE PUBLIC SERVICE COMMISSION
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PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

SEP 15 2003

PURSUANT TO 807 KAR 5:011
SECTION 9 (1)

BY *Charles L. Dorn*
EXECUTIVE DIRECTOR

FOR Salt Lick, Kentucky
Community, Town or City

P.S.C. KY. NO. 1

Original SHEET NO. 39

Bath County Water District
(Name of Utility)

CANCELLING P.S.C. KY. NO. _____

_____ SHEET NO. _____

RULES AND REGULATIONS

Z. Legal Disclaimers.

1. The utility shall in no event be held responsible for any claims made against it for reasons of system failure or interruption of service. No persons shall be entitled to damages nor for any portion of a payment refunded for any system failure or interruption of service which in the opinion of the utility is deemed necessary.
2. No person shall maliciously, willfully, or negligently break, damage, destroy, uncover, deface, or tamper with any structure appurtenance or equipment which is a part of the utility's water system. Any person violating this provision will be subject to immediate arrest and/or discontinuance of water service and shall pay the cost of repairing or replacing the utility's facilities.
3. If any loss or damage to the property of the utility or any accident or other injury to persons or property is caused by or results from the negligence or wrongful action of a customer, members of his/her household, his/her agent or employee, the cost of necessary repairs or replacements shall be paid by the customer of the utility and any liability otherwise resulting shall be that of the customer.
4. For purposes of fire protection, including any customer's fire protection system, the utility cannot guarantee a water supply at any particular flow rate or pressure. The fire flow may vary depending upon other water demands on the system, various water facility limitations, or other circumstances. The customer will indemnify and hold harmless the utility and its employees from and against all claims, damages, losses, and expenses incurred as a result of insufficient water supply or deficient system facilities.

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ISSUED BY Mitchell C. ...
(Signature of Officer)

TITLE Chairman

BY AUTHORITY OF ORDER OF THE PUBLIC SERVICE COMMISSION
IN CASE NO. _____ DATED _____

PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

SEP 15 2003

PURSUANT TO 807 KAR 5:011
SECTION 9 (1)

BY Charles L. ...
EXECUTIVE DIRECTOR

FOR Salt Lick, Kentucky
Community, Town or City

P.S.C. KY. NO. 1

Original SHEET NO. 40

Bath County Water District
(Name of Utility)

CANCELLING P.S.C. KY. NO. _____

_____ SHEET NO. _____

RULES AND REGULATIONS

- AA. Fire Departments. For the purpose of off-setting fifty percent or more of its operation expenses, any fire department not receiving public funds from the Commonwealth of Kentucky, or any political subdivision thereof, may withdraw water from the utility's facilities at no charge, for the extinguishing of fires or the training of firemen. A fire department making such withdrawals shall provide an estimate of its withdrawals to the utility at the end of each month. A penalty of \$10.00 may be assessed if proper reports are not made to the utility.
- AB. Fire Hydrants:
1. In accordance with 807 KAR 5:066 Section 10(2)(b), a new fire hydrant will not be installed unless:
 - a) A professional engineer with a Kentucky registration has certified that the system can provide a minimum fire flow of 250 gallons per minute, and
 - b) The system supporting this flow has the capability of providing this flow for a period of not less than two (2) hours plus consumption at the maximum daily rate.
 2. The location, installation, and the responsibility for maintenance of fire hydrants, public and private fire protection facilities, connecting mains, and their ownership will be subject to negotiation between the utility and the applicant/customer. Fire hydrants and public and private fire protection facilities shall be installed as required by the utility and if owned by the utility shall be subject to any conditions the Public Service Commission may impose, based upon the compensation received for this service.
- AC. Fire Sprinkler Systems/Private Fire Connection Service. Unless specifically exempted within the utility's approved tariff, all connections to the utility's system must be metered; one exception being fire sprinkler systems, subject to utility inspection and approval. A monthly charge will be assessed for each fire sprinkler system. The charge will be approved by the Public Service Commission and included in the rates and charges portion of the utility's approved tariff.

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ISSUED BY *W. Mitchell Brooks*
(Signature of Officer)

TITLE Chairman

BY AUTHORITY OF ORDER OF THE PUBLIC SERVICE COMMISSION

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PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

SEP 15 2003

PURSUANT TO 807 KAR 5:011
SECTION 9 (1)

BY *Charles L. Brown*
EXECUTIVE DIRECTOR

FOR Salt Lick, Kentucky
Community, Town or City

P.S.C. KY. NO. 1

Original SHEET NO. 41

Bath County Water District
(Name of Utility)

CANCELLING P.S.C. KY. NO. _____

_____ SHEET NO. _____

RULES AND REGULATIONS

AD. Requirements for New Water Connections

1. The water line must be buried in a ditch that is at a minimum of 24 inches in depth.
2. The water line must be a minimum of 200 psi.
3. A shut-off Valve must be installed.
4. A one way check valve must be installed.
5. A pressure regulator may be required as prescribed by the utility.
6. There shall be absolutely no galvanized pipe or fittings used in the installation.
7. The water line must be visually inspected by the Kentucky State Plumbing Inspector or by the utility under unusual circumstances.
8. If a well is being used, it must be disconnected and the utility must inspect to verify separation.

AE. Water Main Extensions

1. Normal extensions. An extension of one hundred (100) feet or less shall be made by a utility to its existing distribution main without charge for a prospective customer who shall apply for and contract to use service for one (1) year or more.
2. Other extensions.
 - a) When an extension of the utility's main to serve an applicant or group of applicants amounts to more than one hundred (100) feet per applicant, the utility may require the total cost of the excessive footage over (100) feet per applicant/customer to be deposited with the utility by the applicant or the applicants, based on the average estimated cost per foot of the total extension.

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ISSUED BY Mitchell Pearson
(Signature of Officer)

TITLE Chairman

BY AUTHORITY OF ORDER OF THE PUBLIC SERVICE COMMISSION
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PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

SEP 15 2003

PURSUANT TO 807 KAR 5:011
SECTION 9 (1)

BY Charles H. Brown
EXECUTIVE DIRECTOR

FOR Salt Lick, Kentucky
Community, Town or City

P.S.C. KY. NO. 1

Original SHEET NO. 42

Bath County Water District
(Name of Utility)

CANCELLING P.S.C. KY. NO. _____

_____ SHEET NO. _____

RULES AND REGULATIONS

- b) When an extension of the utility's main to serve an applicant or group of applicants amounts to more than one (100) feet per applicant, the utility will require the applicant(s) to sign an agreement between the utility and the property owner applicant/customer that specifically define the responsibilities of each party with regard to the extension.
3. An applicant desiring an extension may be required to pay the entire cost of the extension. Each year, for a refund period of ten (10) years, the utility will refund to the applicant who paid for the extension a sum equal to the cost of one hundred (100) feet of the extension installed for each new customer connected during the year whose service line is directly connected to the extension installed by the developer, and not to extensions or laterals therefrom. Total amount refunded will not exceed the amount paid to the utility. No refund will be made after the refund period ends.
 4. Nothing contained herein shall be construed to prohibit the utility from making extensions under different arrangements if such arrangements have received the prior approval of the Public Service Commission.
 5. Upon complaint to and investigation by the Public Service Commission a utility may be required to construct extensions greater than one hundred (100) feet upon a finding by the Public Service Commission that such extension is reasonable and than an extension of one hundred (100) feet or less is unreasonable under the circumstances.
 6. Nothing contained herein shall prohibit the utility from making at its own expense greater extensions than herein prescribed, provided like free extensions are made to other customers under similar conditions. The utility reserves the right to make these extensions when funds are available and it is feasible for the utility to operate in a fair manner.

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ISSUED BY *Mitchell Crumley*
(Signature of Officer)

TITLE Chairman

BY AUTHORITY OF ORDER OF THE PUBLIC SERVICE COMMISSION

IN CASE NO. _____ DATED _____

PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

SEP 15 2003

PURSUANT TO 807 KAR 5:011
SECTION 9 (1)

BY *Thomas L. Eddins*
EXECUTIVE DIRECTOR

FOR Salt Lick, Kentucky
Community, Town or City

P.S.C. KY. NO. 1

Original SHEET NO. 43

Bath County Water District
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_____ SHEET NO. _____

RULES AND REGULATIONS

AF. Extension Procedures for Developers and/or New Subdivisions

1. An applicant desiring an extension to real estate subdivision shall be required to pay the entire cost of the extension, in which includes any system improvements made to serve the development.
2. Regulation 807 KAR 5:066 Section 11(2)(a and therefore, 807 KAR 5:066 Section (2)(b)(1) or (2) or (3) would not apply to the utility with regard to newly developed subdivisions.

DATE OF ISSUE _____
Month / Date / Year

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ISSUED BY Mitchell Crooks
(Signature of Officer)

TITLE Chairman

BY AUTHORITY OF ORDER OF THE PUBLIC SERVICE COMMISSION
IN CASE NO. _____ DATED _____

PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

SEP 15 2003

PURSUANT TO 807 KAR 5:011
SECTION 9 (1)

BY Charles H. Brown
EXECUTIVE DIRECTOR

SHEET NO.

RULES AND REGULATIONS

ACCOUNT		DUE DATE
AMOUNT DUE AFTER DUE DATE	PENALTY AFTER DUE DATE	PAY THIS AMOUNT

PLEASE RETURN THIS STUB WITH PAYMENT

OUTSIDE DEPOSITORY AVAILABLE FOR
AFTER HOURS PAYMENTS.

BILL MUST BE PAID IN FULL BY THE 15TH.
OR A LATE PENALTY WILL BE CHARGED.

FAILURE TO RECEIVE BILL DOES NOT
WAIVE PAYMENT.

BILLS NOT PAID IN FULL WILL BE
DISCONNECTED ON THE 20TH. THE
ORIGINAL BILL PLUS A \$20.00 RECONNECT
FEE MUST BE PAID TO CONTINUE SERVICE.

REFER TO ACCOUNT NUMBER WHEN
SEEKING INFORMATION REGARDING
YOUR ACCOUNT.

CODES	
WAT	- WATER CHARGE
E	- ESTIMATED READING
TXS	- STATE SALES TAX
TXU	- UTILITY TAX (SCHOOL)
SEW	- SEWER CHARGE
LTF	- LATE CHARGE
ADI	- ADJUSTMENT
OP	- OVERPAYMENT
MSC	- MISCELLANEOUS
DEP	- DEPOSIT

☐ CHECK BOX AT LEFT IF YOU DESIRE A CURRENT RATE SCHEDULE.

IN CASE NO. _____ DATED _____

PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

SEP 15 2003

PURSUANT TO 807 KAR 5:011
SECTION 9 (1)

BY Charles W. Smith
EXECUTIVE DIRECTOR

FOR Salt Lick, Kentucky
Community, Town or City

P.S.C. KY. NO. _____

_____ SHEET NO. _____

Bath County Water District
(Name of Utility)

CANCELLING P.S.C. KY. NO. _____

_____ SHEET NO. _____

EMERGENCY RESPONSE PLAN

For the **BATH COUNTY WATER DISTRICT** Water System

This Emergency Response Plan was developed to identify the immediate actions that must be taken in the event of water supply contamination by a pollutant or as a result of infrastructure failure. This plan also describes how the water system will supply water to their customers in the event the water system is unable to use their regular water source due to contamination or infrastructure failure.

Section One: Application of the Plan

The Bath County Water District Water System shall comply with the procedures set out in this plan. Any and every actual contamination event, as well as, any imminent threat of contamination shall evoke the implementation of this plan.

Section Two: Determination and Verification of the Nature and Extent of the Contamination Event

The following water system staff are designated to be the person(s) responsible for the investigation of all potential threats of contamination or actual contamination events:

Jeanette Walton
Kenneth Barber

Manager
Field Manager

When the designated water system staff person(s) discovers or in any way learns of a contamination event or of an imminent threat of such an event, the designated water system staff person(s) shall personally investigate and make a reasonably informed determination of the existence of contamination, or threat thereof, striving to identify the contaminating substance, the source and volume of the contaminant which has entered the water source, the time and duration of the contamination, and whether the incursion is ongoing or apt to re-occur without intervention. At the conclusion of this initial

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ISSUED BY *Matthew Crocker*
(Signature of Officer)

TITLE Chairman

BY AUTHORITY OF ORDER OF THE PUBLIC SERVICE COMMISSION

IN CASE NO. _____ DATED _____

PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

SEP 15 2003

PURSUANT TO 807 KAR 5:011
SECTION 9 (1)

BY *Charles H. Dorn*
EXECUTIVE DIRECTOR

FOR Salt Lick, Kentucky
Community, Town or City

P.S.C. KY. NO. _____

_____ SHEET NO. _____

Bath County Water District
(Name of Utility)

CANCELLING P.S.C. KY. NO. _____

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investigation the designated water system staff person(s) shall set out the findings and conclusions in a **signed, time stamped, and dated report**. If it is determination that a contamination event has occurred, or that a threat of contamination exists, the designated water system staff person(s) shall immediately proceed with those actions set out in Section 3 and Section 4 of the Emergency Response Plan as follows.

Section Three: Notification of Emergency Responders, Regulatory Agencies, Adjacent Water Systems, Local Elected Officials (County Judge Executives and City Mayors) and Other Water System Staff


Upon making an initial verification of a contamination event or by threat thereof, the designated water system staff person(s) shall notify by phone the following Emergency Responders, Regulatory Agencies, Adjacent Water Systems, Local Elected Officials (County Judge Executives and City Mayors) and Other Water System Staff:

Emergency Responders

<u>Contact Agency/Person</u>	<u>Phone Number</u>	<u>Fax Number</u>
Kentucky Natural Resources Environmental Protection Cabinet	1-800-928-2380	502-564-5105
Public Service Commission PSC Joe Greenwell	1-502-564-3940	502-695-9365 502-695-4214

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ISSUED BY 
(Signature of Officer)

TITLE Chairman

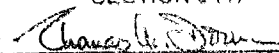
BY AUTHORITY OF ORDER OF THE PUBLIC SERVICE COMMISSION

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EFFECTIVE

SEP 15 2003

PURSUANT TO 807 KAR 5.011
SECTION 9 (1)

BY 
EXECUTIVE DIRECTOR

FOR Salt Lick, Kentucky
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CANCELLING P.S.C. KY. NO. _____

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Regulatory Agencies

<u>Contact Agency/Person</u>	<u>Phone Number</u>	<u>Fax Number</u>
County Health Department	1-606-674-2731	1-606-674-9646
County DES Director	1-606-674-6056	1-606-674-9536
Kentucky Division of Water	1-502-564-3410	1-502-564-9003
Local Division of Water Inspector	1-606-784-6635	1-606-787-4544

Adjacent Water Systems

<u>Contact Agency/Person</u>	<u>Phone Number</u>	<u>Fax Number</u>
City of Frenchburg	1-606-768-3810	1-606-768-6277
Sharpsburg Water	1-606-247-2861	1-606-247-9031
Morehead Utilities	1-606-784-5538	1-606-783-1340
Mt. Sterling Water and Sewer	1-859-498-0166	1-859-497-0438

Local Elected Officials

<u>Name/Elected Office Held</u>	<u>Phone Number</u>	<u>Fax Number</u>
Bath County Judge Executive	1-606-674-6346	1-606-674-6658
Bath County Sheriff's Office	1-606-674-2931	1-606-674-9290

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ISSUED BY Mitchell Crooks
(Signature of Officer)

TITLE Chairman

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IN CASE NO. _____ DATED _____

PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

SEP 15 2003

PURSUANT TO 807 KAR 5:011
SECTION 9 (1)

BY Charles L. Brown
EXECUTIVE DIRECTOR

FOR Salt Lick, Kentucky
Community, Town or City

P.S.C. KY. NO. _____

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Bath County Water District
(Name of Utility)

CANCELLING P.S.C. KY. NO. _____

_____ SHEET NO. _____

Other Water System Staff

<u>Title</u>	<u>Phone Number</u>	<u>Fax Number</u>
Floyd Humphries	1-606-683-5021	
Mayes, Sudderth & Etheredge	1-859-223-5694	1-859-223-2607
Chairman of the Board	1-606-683-6363	1-606-683-9917
Board of Commissioners	1-606-683-6363	1-606-683-9917

A copy of the contamination report referenced in Section 2 of this Plan shall be faxed to each of the above listed Emergency Responders, Regulatory Agencies, Adjacent Water Systems, and Local Elected Officials. Copies of the report will be made available to Other Water System Staff upon their reporting to work after the contamination event has occurred.

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ISSUED BY Mitchell Crocker
(Signature of Officer)

TITLE Chairman

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PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

SEP 15 2003

PURSUANT TO 807 KAR 5.011
SECTION 9 (1)

BY Charles L. Downing
EXECUTIVE DIRECTOR

FOR Salt Lick, Kentucky
Community, Town or City

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_____ SHEET NO. _____

Bath County Water District
(Name of Utility)

CANCELLING P.S.C. KY. NO. _____

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Section Four: Notification of Consumers and the Public At Large

The following public service announcement will be prepared by the water system:

Public Service Announcement

Notice is hereby given to the customers of the _____ Water System. Please be advised that the source of water or a component of the infrastructure system of the _____ Water System has been contaminated. The fact that this contamination event has occurred requires that the water system perform the following emergency acts to ensure the safety of the customers of the water system:

Customers of the _____ Water System are advised to take the following safety measures:

It is expected that this situation will be resolved and routine service will be restored on or after _____ (time and date).

For additional information you may contact _____ at the _____ Water System at ____ - ____ - ____ between the hours of ____ A.M. and ____ P.M., Monday through Friday.

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ISSUED BY Mitchell Gools
(Signature of Officer)

TITLE Chairman

BY AUTHORITY OF ORDER OF THE PUBLIC SERVICE COMMISSION

IN CASE NO. _____ DATED _____

PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

SEP 15 2003

PURSUANT TO 857 KAR 5.011
SECTION 5 (1)

BY Charles E. Brown
EXECUTIVE DIRECTOR

FOR Salt Lick, Kentucky
Community, Town or City

P.S.C. KY. NO. _____

_____ SHEET NO. _____

Bath County Water District
(Name of Utility)

CANCELLING P.S.C. KY. NO. _____

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A public service announcement such as the one indicated above shall be hand-delivered or faxed to all news media with local coverage in the service area. News media to be contacted include the following:

Television Stations

<u>Name of Station</u>	<u>Phone Number</u>	<u>Fax Number</u>
WLEX-TV Channel 18	1-859-259-1818	1-859-254-1272
ACTS TV Channel 2	1-606-786-3504	<u>jgmayer@martc.com</u>

Radio Stations

<u>Name of Station</u>	<u>Phone Number</u>	<u>Fax Number</u>
WKCA	1-606-674-2181	1-606-498-7930
WMST	1-606-498-1150	1-606-498-7930

Newspapers

<u>Name of Newspaper</u>	<u>Phone Number</u>	<u>Fax Number</u>
Bath County News Outlook	1-606-674-2181	1-606-674-9994
Morehead News	1-606-784-4116	1-606-784-7337

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ISSUED BY *M. Mitchell*
(Signature of Officer)

TITLE Chairman

BY AUTHORITY OF ORDER OF THE PUBLIC SERVICE COMMISSION

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PUBLIC SERVICE COMMISSION
OF KENTUCKY
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SEP 15 2003

PURSUANT TO 807 KAR 5.011
SECTION 9 (1)

BY *Charles L. Dorn*
EXECUTIVE DIRECTOR

FOR Salt Lick, Kentucky
Community, Town or City

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_____ SHEET NO. _____

Bath County Water District
(Name of Utility)

CANCELLING P.S.C. KY. NO. _____

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A copy of the public service announcement shall also be hand-delivered or faxed to each United States Post Office within the affected service area. The postmaster/post mistress at the Post Office will be instructed to post the public service announcement in a location at the Post Office that is visible to anyone entering the Post Office. Listed below are the United States Post Offices located in the service area of the Bath County Water System:

Post Offices

<u>Name of Post Office/Post Master</u>	<u>Phone Number</u>	<u>Fax Number</u>
Salt Lick	1-606-683-2241	N/A
Owingsville	1-606-674-2821	N/A
OLYMPIA	1-606-674-6336	N/A
Preston	1-606-674-2627	N/A
Hope	1-859-498-8003	N/A

Section Five: Alternative Water Sources

If the primary water source has to be shut down due to contamination, it may be necessary to depend on a secondary or emergency water supply. The primary emergency supply for the Bath County Water District Water System would come from the supply of water in the water storage tanks owned by the water system. The normal supply on hand in the (7) seven water storage tanks owned by the water system is estimated to last for 1.5 days or 36 hours.

Should the primary water supply be unavailable longer than the time period of water supply available in the water storage tanks, the water system would seek additional water from any interconnects that might

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ISSUED BY *W. Mitchell Croft*
(Signature of Officer)

TITLE Chairman

BY AUTHORITY OF ORDER OF THE PUBLIC SERVICE COMMISSION

IN CASE NO. _____ DATED _____

PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

SEP 15 2003

PURSUANT TO 807 KAR 5.011
SECTION 9 (1)

BY *Charles R. Dow*
EXECUTIVE DIRECTOR

FOR Salt Lick, Kentucky
Community, Town or City

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Bath County Water District
(Name of Utility)

CANCELLING P.S.C. KY. NO. _____

_____ SHEET NO. _____

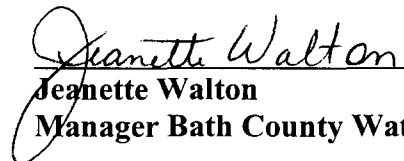
be available with neighboring water systems. At this time the Bath County Water District Water System has the following interconnects with neighboring water systems:

Water Systems

<u>Name of Water System</u>	<u>Phone Number</u>	<u>Fax Number</u>
Mt. Sterling Water	1-859-498-0166	1-859-497-0438
Morehead Utility Plant Board	1-606-784-5538	1-606-783-1340

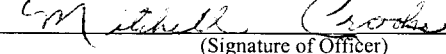
In cases of extreme water supply shortage, it may be necessary for water system and local elected officials to contact the Kentucky National Guard in Frankfort about the possibility of securing additional water for the community. Arrangements could be made with the Kentucky National Guard to truck in water to the community as an emergency supply until normal water service could be restored. The Kentucky National Guard can be contacted at 502-564-8600.

This Emergency Response Plan was adopted by the Bath County Water District Board of Commissioner's on October 22, 2002.


Jeanette Walton
Manager Bath County Water District

DATE OF ISSUE _____
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ISSUED BY 
(Signature of Officer)

TITLE Chairman

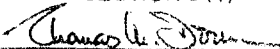
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BY 
EXECUTIVE DIRECTOR

FOR Salt Lick, Kentucky
Community, Town or City

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Bath County Water District
(Name of Utility)

CANCELLING P.S.C. KY. NO. _____

_____ SHEET NO. _____

RULES AND REGULATIONS

WATER SHORTAGE RESPONSE PLAN

For

Bath County Water District

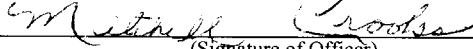
Section 1. Purpose. The purpose of this Plan is to provide for the declaration of official phases of water supply shortages and the implementation of voluntary and mandatory water conservation measures throughout the Utility's service area in the event a shortage is declared.

Section 2. Definitions. These terms are applicable only for this Plan unless specifically noted.

- A. "*Customer*" shall mean any person or entity using water for any purpose from the Utility's water distribution system and for which either a regular charge is made or, in the case of bulk sales, a cash charge is made at the site of delivery.
- B. "*Raw Water Supplies*" shall mean all water potentially available to persons in the Utility's service area.
- C. "*Treated Water*" shall mean water that has been introduced by the Utility into its water distribution system, including water offered for sale. Uses of treated water are classified as follows:

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TITLE Chairman

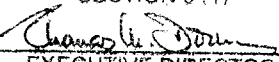
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SECTION 9 (1)

BY 
EXECUTIVE DIRECTOR

FOR Salt Lick, Kentucky
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_____ SHEET NO. _____

Bath County Water District
(Name of Utility)

CANCELLING P.S.C. KY. NO. _____

_____ SHEET NO. _____

RULES AND REGULATIONS

Class 1 – Essential Water Uses:

The following uses of water, listed by site or user type, are essential.

Domestic:

-- water necessary to sustain human life and the lives of domestic pets, and to maintain minimum standards of hygiene and sanitation.

Health Care Facilities:

-- patient care and rehabilitation, including related filling and operation of swimming pools.

Water Hauling:

-- sales of domestic use where not reasonably available elsewhere.

Public Use:

-- firefighting.

-- health and public protection purposes, if specifically approved by health officials.

Class 2 – Socially or Economically Important Uses:

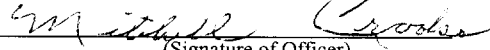
The following uses of water, listed by site or user type are socially or economically important:

Domestic:

-- personal, in-house water use including kitchen, bathroom and laundry.

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(Signature of Officer)

TITLE Chairman

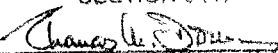
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BY 
EXECUTIVE DIRECTOR

FOR Salt Lick, Kentucky
Community, Town or City

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Bath County Water District
(Name of Utility)

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RULES AND REGULATIONS

Water Hauling:

-- non-domestic, when other sources are not reasonably available elsewhere.

Commercial and Civic Use:

-- commercial car and truck washes,

-- laundromats,

-- restaurants, clubs and eating places,

-- schools, churches, motels/hotels and similar commercial establishments.

Outdoor Non-Commercial Watering:

-- minimal watering of vegetable gardens,

-- minimal watering of trees where necessary to preserve them.

Outdoor Commercial or Public Watering (using conservation methods and when other sources of water are not available or feasible to use):

-- agricultural irrigation for the production of food and fiber or the maintenance of livestock,

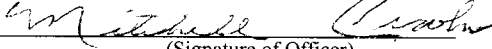
-- watering by arboretums and public gardens of national, state, regional or community significance where necessary to preserve specimens,

-- watering by commercial nurseries at a minimum level necessary to maintain stock,

-- watering at a minimum rate necessary to establish or maintain revegetation or landscape plantings required pursuant to law or regulation,

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ISSUED BY 
(Signature of Officer)

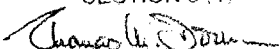
TITLE Chairman

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RULES AND REGULATIONS

-- watering of woody plants where necessary to preserve them,

-- minimal watering of golf course greens.

Recreational:

-- operation of municipal swimming pools and residential pools that serve more than 25 dwelling units.

Air Conditioning:

-- refilling for startup at the beginning of the cooling season,

-- makeup of water during the cooling season,

-- refilling specifically approved by health officials where the system has been drained for health protection or repair services.

Class 3 – Non-Essential Uses:

Any waste of water, as defined herein, is non-essential. The following uses of water, listed by site or user type, are non-essential.

Public Use:

-- use of fire hydrants (excluding Class 1 and Class 2 uses), including use of sprinkler caps, testing fire apparatus and fire department drills.

-- flushing of sewers and hydrants except as needed to ensure public health and safety as approved by health officials.

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(Signature of Officer)

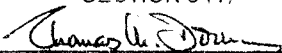
TITLE Chairman

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(Name of Utility)

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RULES AND REGULATIONS

Commercial and Civic Use:

- serving water in restaurants, clubs, or eating places, except by customer request,
- failure to repair a controllable leak,
- increasing water levels in scenic and recreational ponds and lakes, except for the minimum amount required to support fish and wildlife.

Ornamental Purposes:

- of fountains, reflecting pools and artificial waterfalls.

Outdoor Non-Commercial Watering:

- use of water for dirt control or compaction,
- watering of annual or non-woody plants, lawns, parks, golf course, fairways, playing fields and other recreational areas,
- washing sidewalks, walkways, driveway, parking lots, tennis courts or other hard-surface areas,
- washing down buildings or structures for purposes other than immediate fire protection,
- flushing gutters or permitting water to run or accumulate in any gutter or street.

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ISSUED BY Matthew Crook
(Signature of Officer)

TITLE Chairman

BY AUTHORITY OF ORDER OF THE PUBLIC SERVICE COMMISSION
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PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

SEP 15 2003

PURSUANT TO 807 KAR 5:011
SECTION 9 (1)

BY Charles L. Dowd
EXECUTIVE DIRECTOR

FOR Salt Lick, Kentucky
Community, Town or City

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_____ SHEET NO. _____

Bath County Water District
(Name of Utility)

CANCELLING P.S.C. KY. NO. _____

_____ SHEET NO. _____

RULES AND REGULATIONS

Outdoor Commercial or Public Watering:

- expanding nursery facilities, placing new irrigated agricultural land in production, or planting of landscaping except when required by a site design review process,
- use of water for dirt control or compacting,
- watering of lawns, parks, golf course fairways, playing fields and other recreational areas,
- washing down buildings or structures for purposes other than immediate fire protection,
- flushing gutters or permitting water to run or accumulate in any gutter or street.

Recreational uses other than those specified in Class 2.

Non-commercial washing of motor and other vehicles.

Air Conditioning (see also Class 2 purposes)

- refilling cooling towers after draining.

D. "Base Entitlement" shall mean the monthly usage for a customer during the same month of the preceding calendar year or the average per customer usage for each class of service during the same month of the preceding year.

E. "Curtailed Entitlement" shall mean the monthly usage for a customer after any curtailment percentage has been applied.

F. "Curtailment" shall mean the monthly usage for a customer after any curtailment percentage has been applied.

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DATE EFFECTIVE _____
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ISSUED BY *Mitchell* *Chapman*
(Signature of Officer)

TITLE Chairman

BY AUTHORITY OF ORDER OF THE PUBLIC SERVICE COMMISSION

IN CASE NO. _____ DATED _____

PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

SEP 15 2003

PURSUANT TO 807 KAR 5.011
SECTION 9 (1)

BY *Charles L. Brown*
EXECUTIVE DIRECTOR

FOR Salt Lick, Kentucky
Community, Town or City

P.S.C. KY. NO. _____

_____ SHEET NO. _____

Bath County Water District
(Name of Utility)

CANCELLING P.S.C. KY. NO. _____

_____ SHEET NO. _____

RULES AND REGULATIONS

G. Water Shortage Response Phases:

"*Advisory*" shall mean that conditions exist which indicate the potential for serious raw or treated water supply shortages.

"*Alert*" shall mean the raw or treated water supplies are consistently below seasonal averages, and if they continue to decline, may not be adequate to meet normal needs.

"*Emergency*" shall mean that raw or treated water supplies are below the level necessary to meet normal needs and that serious shortages exist in the area.

"*Rationing*" shall mean that procedures must be established to provide for the equitable distribution of critically limited raw or treated water supplies, in order to balance demand and limited available supplies, and to assure that sufficient water is available to preserve public health and safety.

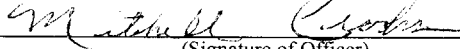
Section 3. Applicability. The provisions of this Plan shall apply to all retail and wholesale customers of the Utility. When implemented, this Plan becomes the Utility's Water Shortage Response Regulation.

Section 4. Entitlements. Entitlements shall be established for each customer by adjusting the base entitlement to reflect any known change in usage pattern.

Section 5. Determination of Water Shortage. Water supply and usage shall be monitored on a continuous basis. Unrestricted demand shall be projected from past records and adjusted for changes such as new developments and weather conditions on a regular basis. (Note: A sample calculation page is attached as Appendix A to assist in determining overall water levels. It is important that accurate water measurements be used.) Water shortages generally occur for two reasons, a reduction in available supplies or a system failure. Each of these has a distinct influence on the nature and duration of the conservation

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ISSUED BY 
(Signature of Officer)

TITLE Chairman

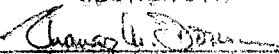
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PUBLIC SERVICE COMMISSION
OF KENTUCKY
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SECTION 9(1)

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EXECUTIVE DIRECTOR

FOR Salt Lick, Kentucky
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Bath County Water District
(Name of Utility)

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RULES AND REGULATIONS

program implemented. Official declaration of water shortage stage and implementation of the measures necessary to curtail water use shall be approved by the Utility's board, manager, or commissioners.

Section 6. Term of Water Shortage Declaration. Any water shortage declaration shall remain in effect until water supplies of service conditions have returned to normal. A final determination as to terminating a water shortage declaration shall be made by the Utility's board, manager, or commissioners.

Section 7. Water Shortage Stage, Criteria, Conservation and Curtailment Measures.

A. Advisory Stage:

1. Criteria. A Water Shortage Advisory shall be declared when the amount of treated or raw water available for treatment is projected to be up to 15 percent above demand, or there are periods of low water pressure in one or more areas of the distribution system due to system failure or inadequacies or the State Division of Water issues a water shortage watch that includes the areas from which the utility draws water. (NOTE: Additional conditions may be added based on local conditions.)
2. Conservation and Curtailment Measures:
 - (a) Declare a Water Shortage Advisory.
 - (b) Provide proper notice to all customers and to all local news media.
 - (c) Eliminate all water leaks.
 - (d) Request voluntary conservation of all non-essential (Class 3) water use.
 - (e) Request wholesale customers also issue request for voluntary conservation for all non-essential (Class 3) water use.

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ISSUED BY *Michael Crocker*
(Signature of Officer)

TITLE Chairman

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IN CASE NO. _____ DATED _____

PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

SEP 15 2003

PURSUANT TO 807 KAR 5.011
SECTION 9 (1)

BY *Charles E. Brown*
EXECUTIVE DIRECTOR

FOR Salt Lick, Kentucky
Community, Town or City

P.S.C. KY. NO. _____

_____ SHEET NO. _____

Bath County Water District
(Name of Utility)

CANCELLING P.S.C. KY. NO. _____

_____ SHEET NO. _____

RULES AND REGULATIONS

B. Alert Stage:

1. Criteria: A Water Shortage Alert shall be declared when the amount of treated water available is projected to be up to 10 percent above demand, or raw water supplies are consistently below seasonal averages and if they continue to decline, may not be adequate to meet normal needs. (Note: Additional conditions may be added based on local conditions.)
2. Conservation and Curtailment Measures:
 - (a) Declare Water Shortage Alert.
 - (b) Provide proper notice to all customers and to all local news media.
 - (c) Eliminate all water leaks.
 - (d) Prohibit all non-essential Class 3 uses of water.
 - (e) Curtailment entitlements to all customers by the same percentage as the projected shortage.
 - (f) Begin billing all customer water usage in excess of curtailed entitlement at the normal rate plus an excess usage charge of \$5.00 per thousand gallons.

C. Emergency Stage:

1. Criteria: A Water Emergency Alert shall be declared when the amount of treated water available is projected to be up to 5 percent above demand, or there are periods of no water in one or more areas of the distribution system due to low water supply or raw water supplies below the level necessary to meet normal needs. (Note: Additional conditions may be added based on local conditions.)

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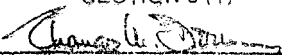
TITLE Chairman

BY AUTHORITY OF ORDER OF THE PUBLIC SERVICE COMMISSION
IN CASE NO. _____ DATED _____

PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

SEP 15 2003

PURSUANT TO 807 KAR 5:011
SECTION 9 (1)

BY 
EXECUTIVE DIRECTOR

FOR Salt Lick, Kentucky
Community, Town or City

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Bath County Water District
(Name of Utility)

CANCELLING P.S.C. KY. NO. _____

_____ SHEET NO. _____

RULES AND REGULATIONS

2. Conservation and Curtailment Measures:
- (a) Declare Water Shortage Emergency.
 - (b) Provide proper notice to all customers and to all local news media.
 - (c) Eliminate all water leaks.
 - (d) Prohibit all Class 3 uses of water.
 - (e) Prohibit all Class 2 uses of water except domestic uses for kitchens, bathrooms, and laundries.
 - (f) Curtail all commercial and industrial entitlements (except health care facilities) by 100 percent.
 - (g) Curtain residential entitlements by the same percentage as the projected shortage.
 - (h) Curtain entitlements to all wholesale customers by the same percentage as the projected shortage.
 - (i) Begin billing all customer water usage an excess usage charge of \$10 per 1,000 gallons.

D. Rationing Stage:

1. Criteria: Treated water available is greater than 1 percent above demand or raw water supplies are below the level necessary to meet essential needs, and in the opinion of the Utility, mandatory rationing is required to ensure adequate water is available to maintain public health and safety.
2. Conservation and Curtailment Measures:
- (a) Declare Water Shortage Rationing.
 - (b) Provide proper notice to all customers and to all local news media.
 - (c) Eliminate all water leaks
 - (d) Prohibit all Class 3 and Class 2 users of water.
 - (e) Curtail all commercial and industrial entitlement (except health care facilities) by 100 percent.

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ISSUED BY *W. Mitchell Croghan*
(Signature of Officer)

TITLE Chairman

BY AUTHORITY OF ORDER OF THE PUBLIC SERVICE COMMISSION
IN CASE NO. _____ DATED _____

PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

SEP 15 2003

PURSUANT TO 807 KAR 5.011
SECTION 8 (1)

BY *Charles L. Brown*
EXECUTIVE DIRECTOR

FOR Salt Lick, Kentucky
Community, Town or City

P.S.C. KY. NO. _____

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Bath County Water District
(Name of Utility)

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RULES AND REGULATIONS

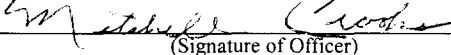
- (f) Curtail all residential and wholesale entitlements by the same percentage as the projected shortage.
- (g) Implement service interruptions to portions of system in accordance with approved published schedule. The schedule shall be provided to all local media.
- (h) Begin billing customer water usage in excess of curtailment entitlement at the normal rate plus an excess usage charge of \$15 per 1,000 gallons.

Section 8. Enforcement of Water Restriction. Any person who violates the provisions of this plan, who fails to carry out the duties and responsibilities imposed by this Plan, or who impedes or interferes with any action undertaken or ordered pursuant to this Plan shall be subject to the following:

- A. If the utility official charged with implementation and enforcement of this Plan learns of any violation of any water use restriction imposed, a written notice of the violation incurred will be mailed to the customer of record. Said notice shall describe the violation and order that it be corrected, cured, or abated immediately or within 48 hours.
- B. The notice will inform the customer of his or her right to appeal by requesting a hearing before the utility's designee. If a hearing is requested by the customer, he or she shall be given full opportunity to be heard before termination. The governing body shall make findings of fact and decide whether service should continue or terminate.
- C. Any customer whose water service is terminated for violating provisions of this water curtailment Plan shall be subject to the approved reconnection fee prior to reconnection of service.

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TITLE Chairman

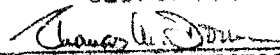
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RULES AND REGULATIONS

- D. The excess usage charge billing provisions of this Plan shall not be put in effect if a county or city ordinance containing penalty provisions is in effect to assist enforcement of this Plan.

Section 9. Request for Exception:

- A. Exemption to Water Use Restrictions: If compliance with any curtailment measure authorized herein would cause a customer to bear extraordinary hardship, that individual or entity may apply to the Utility for an exception. For these purposes, "extraordinary hardship" shall be defined as a condition that may threaten health and safety, or cause property or economic losses, each of which must be shown to be substantially more severe than the sacrifices borne by other users. If extraordinary hardship is found to exist, then an exception shall be granted and a written waiver issued to the customer. If an appeal is made water service shall be continued until a decision is announced. Any person aggrieved by the decision may file a complaint with the Public Service Commission.
- B. Exception to Curtailment Surcharge: Exceptions to excess use charges shall not be considered or granted.

Section 10. Severability. If any provision of this Plan is declared invalid by the courts, the remainder of the Plan and its applicability to other persons and circumstances shall not be affected by that declaration.

Section 11. Effective Date. This plan shall take effect immediately upon approval by the Public Service Commission.

DATE OF ISSUE _____

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ISSUED BY M. Mitchell Crocker
(Signature of Officer)

TITLE Chairman

BY AUTHORITY OF ORDER OF THE PUBLIC SERVICE COMMISSION

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PUBLIC SERVICE COMMISSION
OF KENTUCKY
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PURSUANT TO 807 KAR 5:011
SECTION 9 (1)

BY Thomas G. Dorn
EXECUTIVE DIRECTOR

FOR Salt Lick, Kentucky
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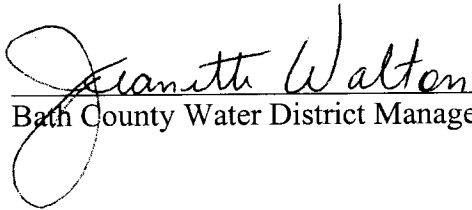
CANCELLING P.S.C. KY. NO. _____

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Bath County Water District
(Name of Utility)


RULES AND REGULATIONS

Water Shortage Response Plan adopted by the Bath County Water District Board of Commissioners on October 22, 2002.


Bath County Water District Manager

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ISSUED BY 
(Signature of Officer)

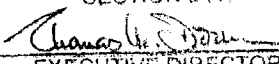
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SECTION 9 (1)

BY 
EXECUTIVE DIRECTOR

APPENDIX A

(Name of Utility)

PROJECTED DEMAND WORKSHEET FOR (Month) (Year)

The information below would be used to estimate projected demand for one (1) month.

Calculations will be done at the beginning of each month.

Average use in (Last Month) for the past 5 years: gpd

Average use in (Last Month) for 2 years ago: gpd

Average use in (Last Month) for 1 year ago: gpd

Average use in (Last Month) for current year: gpd

Average use in (This Month) for the past 5 years: gpd

Average use in (This Month) for 2 years ago: gpd

Average use in (This Month) for 1 year ago: gpd

Usage Notes:

Weather Notes:

Average projected use for:

Without conservation measures: gpd

With advisory conservation measures (reduction) gpd

With alert conservation measures (More than reduction) gpd

With emergency conservation measures (More than reduction) gpd

With rationing conservation measures (More than reduction) gpd